



Board of Social Work
Friday, March 25, 2016 – 10:00 a.m.
9960 Mayland Drive, Suite 200, Board Room 3
Henrico, VA 23233

Call to Order – Yvonne Haynes, L.C.S.W., Chairperson of the Board

Roll Call

Emergency Egress Instructions

Adoption of Agenda

Public Comment on Agenda Items (5 Minutes per Speaker)

Approval of Minutes of October 30, 2015

Director’s Report – David Brown, D.C., Director of DHP

Regulatory/Legislative Update – Elaine Yeatts, Senior Policy Analyst

Executive Director’s Report – Jaime Hoyle

Deputy Executive Director’s Report – Jennifer Lang

Licensing Manager’s Report – Sarah Georgen

Committee Reports

- Regulatory/Legislative Committee’s Report – Bernadette Winters, L.C.S.W.
- Credentials Committee Report – John Salay, L.C.S.W.
- Special Conference Committee Report – Yvonne Haynes, L.C.S.W.
- Board of Health Professions Report – Yvonne Haynes, L.C.S.W.

New Business

- Adoption of Proposed Regulations Pursuant to NOIRA Published on 01/11/2016
- Adoption of NOIRA for Two (2) Amendments Identified by Regulatory Committee:
 - The Definition of “Clinical Social Work Services”
 - Reinstatement and Reactivation
- Petition for Rule-Making*

Next Meeting

Adjournment

*Public comment will not be accepted on petitions for rule-making or regulatory actions in which the comment period has closed.

Our mission is to ensure safe and competent patient care by licensing health professionals, enforcing standards of practice, and providing information to health care practitioners and the public.

Approval of Minutes October 30, 2015

**THE VIRGINIA BOARD OF SOCIAL WORK
MINUTES
Friday, October 30, 2015**

The Virginia Board of Social Work ("Board") meeting convened at 9:41 a.m. on Friday, October 30, 2015 at the Department of Health Professions, 9960 Mayland Drive, Richmond, Virginia. Yvonne Haynes, Vice-Chair called the meeting to order.

BOARD MEMBERS PRESENT: Angelia Allen
Jamie Clancey, L.C.S.W.
Maria Eugenia del Villar, L.C.S.W.
Yvonne Haynes, L.C.S.W.
Dolores Paulson, L.C.S.W., Ph.D.
John Salay, L.C.S.W.
Joseph Walsh, L.C.S.W., Ph.D.

BOARD MEMBERS ABSENT: Bernadette Winters, L.C.S.W., Ph.D.
Kristi Wooten

DHP STAFF PRESENT: David Brown, D.C., Director of DHP
Sarah Georgen, Licensing Manager
Jaime Hoyle, Executive Director
Jennifer Lang, Deputy Executive Director
Charlotte Lenart, Licensing Specialist
Elaine Yeatts, Senior Policy Analyst

BOARD COUNSEL: James Rutkowski, Assistant Attorney General

MISSION STATEMENT:

Ms. Haynes read the mission statement of the Department of Health Professions, which was also the mission statement of the Board.

WELCOME NEW BOARD MEMBERS/STAFF

Ms. Haynes welcomed Angelia Allen and Jamie Clancey, L.C.S.W. as new members to the Board of Social Work. Ms. Haynes also welcomed Jaime Hoyle as the new Executive Director of the Boards of Counseling, Psychology and Social Work.

ROLL CALL:

Ms. Haynes requested a roll call. Ms. Georgen announced that 6 members of the Board were present; therefore a quorum was established.

EMERGENCY EGRESS:

Ms. Haynes announced the Emergency Egress procedures.

ADOPTIONS OF AGENDA:

Upon a motion by Dr. Walsh, which was properly seconded, the agenda was accepted as written. The motion passed.

PUBLIC COMMENT:

Ms. Haynes provided the Board with written public comment from Ronnie Zuessman with the Prince William County Community Services Board that was received on October 20, 2015.

No further public comment was provided.

APPROVAL OF MINUTES:

Upon a motion by Dr. Walsh, which was properly seconded, the meeting minutes from July 24, 2015 were approved as written. The motion passed with Ms. Allen abstaining.

DIRECTOR'S REPORT:

Dr. Brown congratulated Ms. Hoyle on her new position as Executive Director of the Boards of Counseling, Psychology and Social Work. Dr. Brown also welcomed Ms. Allen and Ms. Clancey as new Board members. He noted that the Department of Health Professions ("DHP") has working Boards which requires active participation throughout the year.

Dr. Brown reported that the DHP New Board Member Training and Board Development Day were successful which focused on the roles of the agency, staff and board members.

Dr. Brown stated that the use of laptop computers at the board meeting was an agency pilot to determine usefulness. He asked for feedback to determine if this project could be provided to other boards agency-wide.

Dr. Brown provided an update on the Workforce Healthcare Data Center and reported that twenty-six of the sixty-six professions are surveyed following the renewal of their license. He stated that during the last renewal, 90% of Social Workers completed the survey and learned that 90% of licensees are working in their field. Through this survey, 95% of Social Workers in Virginia were satisfied or very satisfied with their career choice. Dr. Brown stated that the information will be disseminated to high school guidance counselors and colleges to assist students with their career choices and opportunities in healthcare.

REGULATORY/LEGISLATIVE UPDATE:

Ms. Yeatts stated that the Board currently has a regulatory action in process at the Governor's office in regards to fee increases. She reminded the Board that the proposed regulations were submitted approximately four years ago. She stated that if the regulations were approved, the next possible date of implementation would be for the 2017 renewal cycle.

With regards to the fast-track regulations that were discussed at the July 2015 Board meeting, Ms. Yeatts stated that the regulations were rejected by the Virginia Department of Planning and Budget. Ms. Yeatts stated that some of the Regulations did not appear to meet the qualifiers for fast-track regulations.

Upon a motion by Mr. Salay, which was properly seconded, the Board separated the proposed regulations in order to move forward with the proposal for fast-track and to submit a Notice of Intended Regulatory Action ("NOIRA") for the items not appropriate for fast-track. The motion passed.

Ms. Yeatts agreed to provide the Board with an update identifying which regulations did not qualify.

EXECUTIVE DIRECTOR'S REPORT:

Ms. Hoyle thanked Dr. Brown for the opportunity to work with the Behavioral Sciences Boards. Ms. Hoyle welcomed the new Board members and expressed appreciation for the dedication of the past Board members, Nettie Simon-Owens and Jennifer Blosser.

Ms. Hoyle stated that a Disciplinary Specialist was hired to support Ms. Lang with disciplinary cases.

Ms. Hoyle reported on the continued outreach efforts to the Board's stakeholders, applicants and professional organizations with the goal of education for supervision and licensure. She announced presentations were planned for Virginia Commonwealth University and Catholic University by the end of the year.

Ms. Hoyle announced opportunities for board members to participate in board member training through the Association of Social Work Boards ("ASWB"). Travel and participation for these meetings would be fully funded by the ASWB, but must be preapproved by the Secretary of Health and Human Services offices due to state travel requirements.

DEPUTY EXECUTIVE DIRECTOR'S REPORT:

Ms. Lang stated that for the quarter ending September 30, 2015 for the 2016 Fiscal Year, the Board of Social Work currently had 95 open cases, had received 22 new cases, and closed 27 cases.

Ms. Lang stated that the average age of cases closed is a measurement of how long it takes, on average, for a case to be processed from entry to closure. The Board of Social Work average time to close a case was 199.4 days.

She also stated that the percent of cases closed in fewer than 365 days shows, from the total of all cases closed during the specified period, the percent of cases that were closed in less than one year. The Board of Social Work percentage of cases closed within a year was 65.5%.

Ms. Lang announced that the formal hearings previously scheduled to follow the board meeting were cancelled. She stated that she would be in contact with Board members prior to the next Board meeting to schedule a new date.

Ms. Lang stated that the Board of Social Work will utilize an agency subordinate to conduct informal fact-finding proceedings for practitioners or applicants which may be subject to credentials matters or minor disciplinary action. Ms. Lang reminded the Board that the cases heard by the agency subordinate would meet the requirements outlined in the Regulations Governing the Practice of Social Work.

LICENSING MANAGER'S REPORT:

Ms. Georgen stated that as of the end of Quarter 1 for the 2016 Fiscal Year (July 1, 2015 –September 30, 2015), the Board of Social Work regulated 8,049 licenses and registrants.

Ms. Georgen also stated that as of the end of Quarter 1, the Board received 505 new applications and licensed 423 applicants, which include 118 L.C.S.W., 53 L.S.W., and 252 Registration of Supervision applications.

She said that an agency satisfaction survey is sent to applicants for feedback once licensed. For the quarter ending September 30, 2015, the satisfaction rate for the Board was 90.7%. Comments received from applicants were positive with relation to customer service and timeliness of processing applications. Recommendations from new licensees suggested a more user-friendly website.

To lessen the burden and to increase efficiency, Ms. Georgen said that she had recently restructured the applications for licensure by exam, licensure by endorsement and registration of supervision. The process eliminated unnecessary documentation and applicant responses which increased the processing time of applications exponentially. Outdated applications will be accepted until December 31, 2015.

REGULATORY COMMITTEE REPORT:

Dr. Winters was unable to attend the Board meeting; however Ms. Georgen provided the Regulatory Committee/Legislative Report on her behalf. Ms. Georgen stated that the Regulatory Committee meeting originally scheduled for August 28, 2015 was postponed, but the meeting was rescheduled for December 4, 2015 at 2:00 p.m. The agenda items from the postponed meeting were held over to include reactivation requirements, mid-level licensure, and clinical social work services.

The last Regulatory Committee meeting was postponed due to needed clarification from the Attorney General's office and DHP's DATA Processing department to offer an alternative option to mid-level licensure by using the established registration of supervision numbers which would allow holders to identify themselves as board-approved Supervisees in Social Work. Staff wanted to ensure that all internal processes were addressed to allow for a more thorough and thoughtful discussion at the meeting.

She announced that further information and discussion would occur at the next Regulatory Committee meeting, but if any Board members had questions or concerns in the meantime, they may contact Dr. Winters or Ms. Hoyle.

CREDENTIALS COMMITTEE REPORT:

No report.

SPECIAL CONFERENCE COMMITTEE REPORT:

No report.

NOMINATION COMMITTEE REPORT:

Mr. Salay announced that Ms. Haynes has been nominated for Chairperson and Mr. Salay was been nominated for Vice-Chairperson.

BOARD OF HEALTH PROFESSIONS REPORT:

Ms. Haynes reported that the Board of Health Professions met on August 6, 2015. She noted that a presentation was provided to the board related to the agency's Prescription Monitoring Program in terms of maximizing management and minimizing risk. A major initiative for this provide for 2015 had been the integration with HR systems and existing pharmacy applications.

Ms. Haynes reported that the Board of Health Professions' Regulatory Committee voted to send a response to Senator Alexander which was related to the credentialing of a new group of service providers, currently being identified as 'funeral counselors.' The Board had requested a formal proposal from all interested parties, following the Board's stated policies and procedures for the evaluation of the need to regulate that proposed group of professionals.

Additionally, Ms. Haynes reported that three Masters level students from the Virginia Commonwealth University Wilder School of Business will intern with the Board of Health Professions for the next school year. They will focus on issues related to telehealth and access to care. They will also provide staff support.

ELECTION OF OFFICERS:

Ms. Haynes announced the Nominating Committee's report for Chairperson and Vice-Chairperson. Ms. Haynes asked for further nominations. Hearing none, she asked Ms. Georgen to initiate a roll call vote. Ms. Georgen reported that Ms. Haynes had a majority vote and was elected Chairperson. Ms. Georgen reported that Mr. Salay had a majority vote and was elected Vice-Chairperson.

Ms. Haynes asked for changes or additions to the Committee Assignments for the 2015-2016 year. Ms. Haynes asked Ms. Georgen to read the assignments for the Regulatory/Legislative, Credentials, Special Conference, and Nomination Committees. Ms. Allen and Ms. Clancey expressed interest in the Special Conference and Regulatory/Legislate Committee. Dr. Paulson expressed interest in the Credentials Committee.

Ms. Haynes asked the Board for discussion to consider eliminating the Nominating Committee from the by-laws, as the Board of Social Work was the only Behavioral Sciences Board that utilized the

Committee. A Board member could express interest for the position of Chairperson or Vice-Chairperson at the full Board meeting. Following discussion, the Board determined that changes were unnecessary to the Nominating Committee.

UNFINISHED BUSINESS:

Ms. Haynes asked Mr. Rutkowski to provide an update from the last Board meeting in regards to 18 VAC 140-20-150(B)(4) and 18 VAC 140-20-160(8). Mr. Rutkowski determined that 18 VAC 140-20-160(8) did not appear to be problematic to the Board and reported that 18 VAC 140-20-150(B)(4) required additional time for review. Ms. Haynes asked Mr. Rutkowski to provide an update at the next Board meeting.

Ms. Haynes asked Ms. Lang to provide an update on the draft by-laws that were discussed at the last Board meeting. Ms. Lang stated that she will table the draft by-laws to a future meeting following an agency-wide review of Guidance Documents.

NEW BUSINESS:

Upon a motion by Dr. Walsh, which was properly seconded, the public comment from Mr. Zeussman was referred to the Regulatory Committee for discussion and action. The motion passed.

BREAK

The Board recessed for a five minute break. The Board reconvened.

NEW BUSINESS:

Ms. Haynes asked VisualResearch Analysis to provide a presentation regarding the Sanction Reference Points (“SRPs”). The Board heard and discussed the presented changes to the SRP Instruction Manual and worksheet. Upon a motion by Mr. Salay, which was properly seconded, the Board adopted the suggested changes. The motion passed 5-2 with Dr. Paulson and Ms. del Villar opposed.

NEXT MEETING:

Ms. Haynes announced that the next regularly scheduled full Board meeting would occur on February 5, 2016.

RECOGNITION OF BOARD MEMBER COMMITMENT:

Ms. Haynes thanked Jennifer Blosser and Nettie Simon-Owens, for dedicating their time and talent to serve the people of Virginia as members of the Board of Social Work.

ADJOURNMENT:

Upon a motion by Dr. Walsh, which was properly seconded, the October 30, 2015 meeting was adjourned at 11:43 p.m. The motion passed.

Yvonne Haynes, LCSW, Vice-Chair

Jaime Hoyle, Executive Director

DRAFT

Financial Data

As of January 31, 2016

Virginia Department of Health Professions
Cash Balance
As of January 31, 2016

	<u>110- Social Work</u>
Board Cash Balance as of June 30, 2014	\$ 306,198
YTD FY16 Revenue	158,345
Less: YTD FY16 Direct and In-Direct Expenditures	<u>242,862</u>
Board Cash Balance as January 31, 2016	<u><u>221,681</u></u>

Virginia Department of Health Professions
Revenue and Expenditures Summary
Department 11000 - Social Work
For the Period Beginning July 1, 2015 and Ending January 31, 2016

Account Number	Account Description	Amount	Budget	Amount	
				Under/(Over) Budget	% of Budget
4002400	Fee Revenue				
4002401	Application Fee	63,015.00	84,000.00	20,985.00	75.02%
4002406	License & Renewal Fee	91,190.00	38,050.00	(53,140.00)	239.66%
4002407	Dup. License Certificate Fee	665.00	945.00	280.00	70.37%
4002409	Board Endorsement - Out	1,505.00	1,710.00	205.00	88.01%
4002421	Monetary Penalty & Late Fees	1,890.00	4,060.00	2,170.00	46.55%
4002432	Misc. Fee (Bad Check Fee)	70.00	-	(70.00)	0.00%
	Total Fee Revenue	158,335.00	128,765.00	(29,570.00)	122.96%
4003000	Sales of Prop. & Commodities				
4003020	Misc. Sales-Dishonored Payments	10.00	-	(10.00)	0.00%
	Total Sales of Prop. & Commodities	10.00	-	(10.00)	0.00%
	Total Revenue	158,345.00	128,765.00	(29,580.00)	122.97%
5011110	Employer Retirement Contrib.	4,044.59	6,717.00	2,672.41	60.21%
5011120	Fed Old-Age Ins- Sal St Emp	2,565.46	3,614.00	1,048.54	70.99%
5011140	Group Insurance	350.75	563.00	212.25	62.30%
5011150	Medical/Hospitalization Ins.	4,065.50	6,519.00	2,453.50	62.36%
5011160	Retiree Medical/Hospitalizatn	309.50	496.00	186.50	62.40%
5011170	Long term Disability Ins	194.50	312.00	117.50	62.34%
	Total Employee Benefits	11,530.30	18,221.00	6,690.70	63.28%
5011200	Salaries				
5011230	Salaries, Classified	30,294.45	47,236.00	16,941.55	64.13%
5011250	Salaries, Overtime	4,217.88	-	(4,217.88)	0.00%
	Total Salaries	34,512.33	47,236.00	12,723.67	73.06%
5011300	Special Payments				
5011380	Deferred Compnstrn Match Pmts	150.00	480.00	330.00	31.25%
	Total Special Payments	150.00	480.00	330.00	31.25%
5011930	Turnover/Vacancy Benefits		-	-	0.00%
	Total Personal Services	46,192.63	65,937.00	19,744.37	70.06%
5012000	Contractual Svcs				
5012100	Communication Services				
5012110	Express Services	14.18	537.00	522.82	2.64%
5012140	Postal Services	7,042.94	4,411.00	(2,631.94)	159.67%
5012150	Printing Services	54.89	67.00	12.11	81.93%
5012160	Telecommunications Svcs (VITA)	418.66	550.00	131.34	76.12%
5012190	Inbound Freight Services	3.25	-	(3.25)	0.00%
	Total Communication Services	7,533.92	5,565.00	(1,968.92)	135.38%
5012200	Employee Development Services				
5012210	Organization Memberships	-	1,500.00	1,500.00	0.00%
5012250	Employee Tuition Reimbursement	600.00	-	(600.00)	0.00%
	Total Employee Development Services	600.00	1,500.00	900.00	40.00%
5012400	Mgmnt and Informational Svcs				
5012420	Fiscal Services	7,218.67	5,500.00	(1,718.67)	131.25%
5012440	Management Services	35.50	212.00	176.50	16.75%
5012460	Public Infrmtl & Relatn Svcs	939.00	-	(939.00)	0.00%
	Total Mgmnt and Informational Svcs	8,193.17	5,712.00	(2,481.17)	143.44%

Virginia Department of Health Professions
Revenue and Expenditures Summary
Department 11000 - Social Work
For the Period Beginning July 1, 2015 and Ending January 31, 2016

Account		Amount			
Number	Account Description	Amount	Budget	Under/(Over) Budget	% of Budget
5012500	Repair and Maintenance Svcs				
5012510	Custodial Services	7.82	-	(7.82)	0.00%
	Total Repair and Maintenance Svcs	7.82	-	(7.82)	0.00%
5012600	Support Services				
5012630	Clerical Services	-	66,208.00	66,208.00	0.00%
5012640	Food & Dietary Services	442.39	480.00	37.61	92.16%
5012660	Manual Labor Services	83.45	2,188.00	2,104.55	3.81%
5012670	Production Services	901.63	2,405.00	1,503.37	37.49%
5012680	Skilled Services	6,002.24	24,297.00	18,294.76	24.70%
	Total Support Services	7,429.71	95,578.00	88,148.29	7.77%
5012800	Transportation Services				
5012820	Travel, Personal Vehicle	2,042.99	2,809.00	766.01	72.73%
5012850	Travel, Subsistence & Lodging	-	1,607.00	1,607.00	0.00%
5012880	Trvl, Meal Reimb- Not Rprtbl	-	917.00	917.00	0.00%
	Total Transportation Services	2,042.99	5,333.00	3,290.01	38.31%
	Total Contractual Svcs	25,807.61	113,688.00	87,880.39	22.70%
5013000	Supplies And Materials				
5013100	Administrative Supplies				
5013120	Office Supplies	314.87	276.00	(38.87)	114.08%
5013130	Stationery and Forms	-	41.00	41.00	0.00%
	Total Administrative Supplies	314.87	317.00	2.13	99.33%
5013600	Residential Supplies				
5013620	Food and Dietary Supplies	26.70	21.00	(5.70)	127.14%
5013630	Food Service Supplies	4.81	82.00	77.19	5.87%
	Total Residential Supplies	31.51	103.00	71.49	30.59%
5013700	Specific Use Supplies				
5013730	Computer Operating Supplies	1.63	-	(1.63)	0.00%
	Total Specific Use Supplies	1.63	-	(1.63)	0.00%
	Total Supplies And Materials	348.01	420.00	71.99	82.86%
5014000	Transfer Payments				
5014100	Awards, Contrib., and Claims				
5014130	Premiums	120.00	-	(120.00)	0.00%
	Total Awards, Contrib., and Claims	120.00	-	(120.00)	0.00%
	Total Transfer Payments	120.00	-	(120.00)	0.00%
5015000	Continuous Charges				
5015100	Insurance-Fixed Assets				
5015160	Property Insurance	-	26.00	26.00	0.00%
	Total Insurance-Fixed Assets	-	26.00	26.00	0.00%
5015300	Operating Lease Payments				
5015340	Equipment Rentals	265.63	-	(265.63)	0.00%
5015350	Building Rentals	2.70	-	(2.70)	0.00%
5015390	Building Rentals - Non State	6,072.70	10,076.00	4,003.30	60.27%
	Total Operating Lease Payments	6,341.03	10,076.00	3,734.97	62.93%
5015500	Insurance-Operations				
5015510	General Liability Insurance	-	97.00	97.00	0.00%

Virginia Department of Health Professions
Revenue and Expenditures Summary
Department 11000 - Social Work
For the Period Beginning July 1, 2015 and Ending January 31, 2016

Account Number	Account Description	Amount			
		Amount	Budget	Under/(Over) Budget	% of Budget
5015540	Surety Bonds	-	6.00	6.00	0.00%
	Total Insurance-Operations	-	103.00	103.00	0.00%
	Total Continuous Charges	6,341.03	10,205.00	3,863.97	62.14%
5022000	Equipment				
5022200	Educational & Cultural Equip				
5022240	Reference Equipment	-	43.00	43.00	0.00%
	Total Educational & Cultural Equip	-	43.00	43.00	0.00%
5022600	Office Equipment				
5022610	Office Appurtenances	-	21.00	21.00	0.00%
	Total Office Equipment	-	21.00	21.00	0.00%
	Total Equipment	-	64.00	64.00	0.00%
	Total Expenditures	78,809.28	190,314.00	111,504.72	41.41%
	Allocated Expenditures				
20100	Behavioral Science Exec	17,919.41	80,641.20	62,721.79	22.22%
30100	Data Center	29,013.11	71,586.68	42,573.57	40.53%
30200	Human Resources	4,463.82	2,842.19	(1,621.63)	157.06%
30300	Finance	17,058.24	24,259.90	7,201.66	70.31%
30400	Director's Office	8,628.25	14,066.91	5,438.66	61.34%
30500	Enforcement	60,481.84	122,700.35	62,218.51	49.29%
30600	Administrative Proceedings	2,384.00	44,203.69	41,819.69	5.39%
30700	Impaired Practitioners	488.07	729.40	241.33	66.91%
30800	Attorney General	14,413.94	20,681.82	6,267.88	69.69%
30900	Board of Health Professions	5,063.86	7,790.20	2,726.34	65.00%
31100	Maintenance and Repairs	83.88	417.32	333.44	20.10%
31300	Emp. Recognition Program	83.81	114.57	30.77	73.15%
31400	Conference Center	73.37	219.42	146.05	33.44%
31500	Pgm Devlpmnt & Implmentn	3,896.92	8,204.98	4,308.05	47.49%
	Total Allocated Expenditures	164,052.51	398,458.62	234,406.11	41.17%
	Net Revenue in Excess (Shortfall) of Expenditures	\$ (84,516.79)	\$ (460,007.62)	\$ (375,490.83)	18.37%

Virginia Department of Health Professions
Revenue and Expenditures Summary
Department 11000 - Social Work
For the Period Beginning July 1, 2015 and Ending January 31, 2016

Account Number	Account Description	July	August	September	October	November	December	January	Total
4002400	Fee Revenue								
4002401	Application Fee	10,500.00	10,050.00	9,550.00	7,975.00	6,625.00	7,405.00	10,910.00	63,015.00
4002406	License & Renewal Fee	84,735.00	2,695.00	825.00	1,590.00	345.00	810.00	190.00	91,190.00
4002407	Dup. License Certificate Fee	160.00	95.00	70.00	100.00	130.00	40.00	70.00	665.00
4002409	Board Endorsement - Out	170.00	210.00	200.00	170.00	190.00	110.00	455.00	1,505.00
4002421	Monetary Penalty & Late Fees	1,090.00	330.00	70.00	230.00	30.00	100.00	40.00	1,890.00
4002432	Misc. Fee (Bad Check Fee)	-	-	35.00	-	-	35.00	-	70.00
	Total Fee Revenue	96,655.00	13,380.00	10,750.00	10,065.00	7,320.00	8,500.00	11,665.00	158,335.00
4003000	Sales of Prop. & Commodities								
4003020	Misc. Sales-Dishonored Payments	-	-	-	-	-	10.00	-	10.00
	Total Sales of Prop. & Commodities	-	-	-	-	-	10.00	-	10.00
	Total Revenue	96,655.00	13,380.00	10,750.00	10,065.00	7,320.00	8,510.00	11,665.00	158,345.00
5011000	Personal Services								
5011100	Employee Benefits								
5011110	Employer Retirement Contrib.	717.21	514.98	562.48	562.48	562.48	562.48	562.48	4,044.59
5011120	Fed Old-Age Ins- Sal St Emp	571.75	395.22	337.72	326.59	299.18	342.37	292.63	2,565.46
5011140	Group Insurance	69.21	46.14	47.08	47.08	47.08	47.08	47.08	350.75
5011150	Medical/Hospitalization Ins.	807.50	543.00	543.00	543.00	543.00	543.00	543.00	4,065.50
5011160	Retiree Medical/Hospitalizatn	61.08	40.72	41.54	41.54	41.54	41.54	41.54	309.50
5011170	Long term Disability Ins	38.40	25.60	26.10	26.10	26.10	26.10	26.10	194.50
	Total Employee Benefits	2,265.15	1,565.66	1,557.92	1,546.79	1,519.38	1,562.57	1,512.83	11,530.30
5011200	Salaries								
5011230	Salaries, Classified	6,107.73	4,112.55	4,153.28	4,054.39	3,955.50	3,955.50	3,955.50	30,294.45
5011250	Salaries, Overtime	1,561.11	1,184.07	391.75	345.03	85.57	650.35	-	4,217.88
	Total Salaries	7,668.84	5,296.62	4,545.03	4,399.42	4,041.07	4,605.85	3,955.50	34,512.33
5011380	Deferred Compnstn Match Pmts	30.00	20.00	20.00	20.00	20.00	20.00	20.00	150.00
	Total Special Payments	30.00	20.00	20.00	20.00	20.00	20.00	20.00	150.00
	Total Personal Services	9,963.99	6,882.28	6,122.95	5,966.21	5,580.45	6,188.42	5,488.33	46,192.63

Virginia Department of Health Professions
Revenue and Expenditures Summary
Department 11000 - Social Work
For the Period Beginning July 1, 2015 and Ending January 31, 2016

Account Number	Account Description	July	August	September	October	November	December	January	Total
5012000	Contractual Svcs								-
5012100	Communication Services								-
5012110	Express Services	-	-	-	-	-	14.18	-	14.18
5012140	Postal Services	4,030.65	2,078.63	406.06	180.77	196.93	136.89	13.01	7,042.94
5012150	Printing Services	-	-	23.27	-	-	31.62	-	54.89
5012160	Telecommunications Svcs (VITA)	53.72	77.10	85.72	60.22	35.93	55.45	50.52	418.66
5012190	Inbound Freight Services	-	-	3.25	-	-	-	-	3.25
	Total Communication Services	4,084.37	2,155.73	518.30	240.99	232.86	238.14	63.53	7,533.92
5012200	Employee Development Services								
5012250	Employee Tuition Reimbursement	-	-	-	-	-	600.00	-	600.00
	Total Employee Development Services	-	-	-	-	-	600.00	-	600.00
5012400	Mgmnt and Informational Svcs								
5012420	Fiscal Services	-	7,020.23	-	45.67	148.49	89.13	(84.85)	7,218.67
5012440	Management Services	-	29.95	-	2.26	-	3.29	-	35.50
5012460	Public Infrmtnl & Relatn Svcs	-	219.00	153.00	171.00	141.00	105.00	150.00	939.00
	Total Mgmnt and Informational Svcs	-	7,269.18	153.00	218.93	289.49	197.42	65.15	8,193.17
5012500	Repair and Maintenance Svcs								
5012510	Custodial Services	-	7.82	-	-	-	-	-	7.82
	Total Repair and Maintenance Svcs	-	7.82	-	-	-	-	-	7.82
5012600	Support Services								
5012640	Food & Dietary Services	-	112.63	153.61	-	-	176.15	-	442.39
5012660	Manual Labor Services	13.43	51.18	15.76	3.08	-	-	-	83.45
5012670	Production Services	98.32	275.93	384.32	14.69	109.30	-	19.07	901.63
5012680	Skilled Services	1,269.82	1,044.82	1,044.82	1,044.82	798.98	-	798.98	6,002.24
	Total Support Services	1,381.57	1,484.56	1,598.51	1,062.59	908.28	176.15	818.05	7,429.71
5012800	Transportation Services								
5012820	Travel, Personal Vehicle	188.60	221.38	238.05	374.33	617.55	313.95	89.13	2,042.99
	Total Transportation Services	188.60	221.38	238.05	374.33	617.55	313.95	89.13	2,042.99
	Total Contractual Svcs	5,654.54	11,138.67	2,507.86	1,896.84	2,048.18	1,525.66	1,035.86	25,807.61

Virginia Department of Health Professions
Revenue and Expenditures Summary
Department 11000 - Social Work
For the Period Beginning July 1, 2015 and Ending January 31, 2016

Account Number	Account Description	July	August	September	October	November	December	January	Total
5013000	Supplies And Materials								
5013100	Administrative Supplies								-
5013120	Office Supplies	-	61.41	90.23	56.02	23.95	24.12	59.14	314.87
	Total Administrative Supplies	-	61.41	90.23	56.02	23.95	24.12	59.14	314.87
5013600	Residential Supplies								
5013620	Food and Dietary Supplies	-	26.70	-	-	-	-	-	26.70
5013630	Food Service Supplies	-	-	-	4.81	-	-	-	4.81
	Total Residential Supplies	-	26.70	-	4.81	-	-	-	31.51
5013700	Specific Use Supplies								
5013730	Computer Operating Supplies	-	-	1.63	-	-	-	-	1.63
	Total Specific Use Supplies	-	-	1.63	-	-	-	-	1.63
	Total Supplies And Materials	-	88.11	91.86	60.83	23.95	24.12	59.14	348.01
5014000	Transfer Payments								
5014100	Awards, Contrib., and Claims								
5014130	Premiums	-	-	-	120.00	-	-	-	120.00
	Total Awards, Contrib., and Claims	-	-	-	120.00	-	-	-	120.00
	Total Transfer Payments	-	-	-	120.00	-	-	-	120.00
5015000	Continuous Charges								
5015300	Operating Lease Payments								
5015340	Equipment Rentals	-	44.09	44.09	44.08	45.20	44.08	44.09	265.63
5015350	Building Rentals	-	1.08	-	-	1.62	-	-	2.70
5015390	Building Rentals - Non State	834.20	979.69	828.72	828.72	943.93	828.72	828.72	6,072.70
	Total Operating Lease Payments	834.20	1,024.86	872.81	872.80	990.75	872.80	872.81	6,341.03
	Total Continuous Charges	834.20	1,024.86	872.81	872.80	990.75	872.80	872.81	6,341.03
	Total Expenditures	16,452.73	19,133.92	9,595.48	8,916.68	8,643.33	8,611.00	7,456.14	78,809.28

Virginia Department of Health Professions
Revenue and Expenditures Summary
Department 11000 - Social Work
For the Period Beginning July 1, 2015 and Ending January 31, 2016

Account Number	Account Description	July	August	September	October	November	December	January	Total
Allocated Expenditures									
20100	Behavioral Science Exec	555.67	632.01	544.09	3,986.48	3,692.00	31,280.63	(22,771.48)	17,919.41
30100	Data Center	6,627.62	3,192.25	1,627.26	3,411.33	4,098.32	3,901.77	6,154.57	29,013.11
30200	Human Resources	25.36	45.05	351.18	50.96	31.54	3,929.20	30.53	4,463.82
30300	Finance	4,436.74	2,005.16	1,975.23	2,102.78	1,831.05	2,853.08	1,854.20	17,058.24
30400	Director's Office	1,546.52	1,089.22	1,058.28	1,027.45	1,297.09	1,299.17	1,310.52	8,628.25
30500	Enforcement	14,381.27	10,055.04	6,977.41	5,836.60	6,010.57	7,813.96	9,406.99	60,481.84
30600	Administrative Proceedings	-	-	433.05	1,950.95	-	-	-	2,384.00
30700	Impaired Practitioners	103.62	77.56	74.43	58.17	59.23	58.05	57.01	488.07
30800	Attorney General	-	-	4,229.87	4,856.86	-	-	5,327.21	14,413.94
30900	Board of Health Professions	976.11	400.69	613.61	887.40	433.90	1,022.49	729.65	5,063.86
31100	Maintenance and Repairs	-	-	-	-	-	83.88	-	83.88
31300	Emp. Recognition Program	-	17.57	-	2.10	5.96	42.50	15.67	83.81
31400	Conference Center	9.41	24.33	(14.59)	4.60	13.44	13.47	22.71	73.37
31500	Pgm Devlpmnt & Implmentn	605.62	461.47	503.68	522.60	571.79	585.27	646.50	3,896.92
	Total Allocated Expenditures	29,267.93	18,000.35	18,373.50	24,698.29	18,044.89	52,883.48	2,784.08	164,052.51
	Net Revenue in Excess (Shortfall) of Expenditures	\$ 50,934.34	\$ (23,754.27)	\$ (17,218.98)	\$ (23,549.97)	\$ (19,368.22)	\$ (52,984.48)	\$ 1,424.78	\$ (84,516.79)

Deputy Executive Director's Report

CASES RECEIVED, OPEN, & CLOSED REPORT ALL BOARDS

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

The "Received, Open, Closed" table belows shows the number of received and closed cases during the quarters specified and a "snapshot" of the cases still open at the end of the quarter.

Quarter Ending	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Cases Received	1329	1368	1413	1223	1449	1384	1391	1262	1257	1327	1297	1332
Cases Open	2572	2658	2639	2662	2893	2935	3084	3184	3223	3230	3183	3141
Cases Closed	1174	1325	1438	1246	1218	1318	1282	1249	1238	1393	1440	1466

CASES RECEIVED, OPEN, & CLOSED REPORT

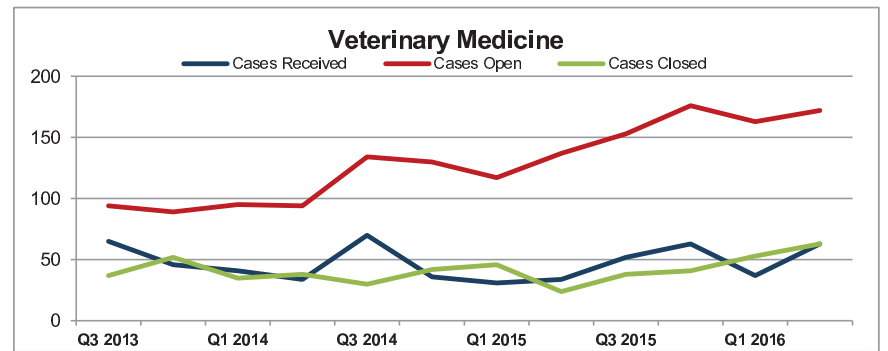
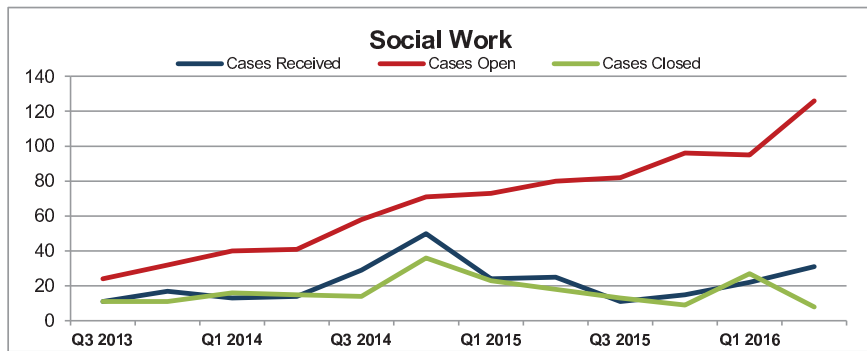
SUMMARY BY BOARD

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

The "Received, Open, Closed" table below shows the number of received and closed cases during the quarters specified and a "snapshot" of the cases still open at the end of the quarter.

Board Of	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Audiology/Speech Pathology												
Number of Cases Received	15	8	4	2	6	8	6	3	10	12	5	1
Number of Cases Open	12	5	1	3	6	7	7	8	15	8	7	8
Number of Cases Closed	10	15	9	0	2	7	6	2	4	19	8	0
Counseling												
Number of Cases Received	24	23	27	19	19	32	29	20	19	23	24	21
Number of Cases Open	48	51	57	54	55	59	73	80	87	94	91	108
Number of Cases Closed	16	22	22	25	20	31	15	14	12	21	31	11
Dentistry												
Number of Cases Received	100	99	96	90	140	123	93	126	123	111	107	67
Number of Cases Open	332	334	327	293	356	412	393	399	404	425	388	302
Number of Cases Closed	70	106	107	126	85	74	121	122	123	112	154	162
Funeral Directing												
Number of Cases Received	19	16	33	13	9	21	31	8	13	14	22	20
Number of Cases Open	48	46	44	40	24	30	48	31	28	30	37	41
Number of Cases Closed	19	14	32	17	24	15	11	26	16	12	14	19



AVERAGE TIME TO CLOSE A CASE (IN DAYS) PER QUARTER

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*The average age of cases closed is a measurement of how long it takes, on average, for a case to be processed from entry to closure. These calculations include only cases closed within the quarter specified.

	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Audiology/Speech Pathology	148.0	77.8	47.2	0.0	53.0	77.5	92.0	66.7	179.0	82.1	134.9	N/A
Counseling	65.8	422.6	254.2	225.4	225.8	170.4	204.6	238.2	315.6	252.2	284.1	193.5
Dentistry	204.4	280.2	286.0	325.1	298.1	394.1	307.5	259.4	222.8	350.3	272.5	292.7
Funeral Directing	208.8	177.5	180.4	164.2	185.7	175.5	175.9	99.4	205.8	140.4	181.3	190.7
Long Term Care Administrator	234.2	233.1	120.7	195.0	291.1	143.8	184.8	154.7	179.7	260.5	247.6	145.4
Medicine	145.0	129.2	225.0	135.9	167.5	151.7	170.8	165.4	219.3	147.3	177.1	181.1
Nurse Aide	173.1	150.3	164.9	167.1	146.6	121.1	116.4	147.2	172.6	145.5	169.6	121.8
Nursing	196.6	164.7	190.1	179.8	184.0	182.9	173.2	214.3	188.1	231.2	191.1	196.3
Optometry	294.8	124.2	163.5	220.5	229.5	289.4	205.5	184.3	122.1	197.2	294.0	154.2
Pharmacy	109.1	154.2	158.7	142.4	130.5	148.4	139.7	102.1	247.3	121.9	200.2	102.6
Physical Therapy	286.1	177.2	99.8	127.0	125.8	123.0	176.4	137.9	120.8	280.5	190.0	117.1
Psychology	80.0	298.3	155.1	177.5	149.5	176.5	210.0	129.0	171.1	181.1	216.0	287.0
Social Work	131.1	276.5	176.0	138.9	216.9	171.2	183.9	314.4	198.9	202.9	199.4	132.5
Veterinary Medicine	196.0	165.4	243.9	243.9	187.2	118.2	214.5	318.2	269.9	158.9	295.7	331.7
AGENCY	169.5	168.2	199.8	179.9	175.9	170.1	178.3	187.6	207.2	186.7	200.1	190.8



AVERAGE TIME TO CLOSE A CASE (IN DAYS) PER FISCAL YEAR LAST FIVE FISCAL YEARS

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

**The average age of cases closed is a measurement of how long it takes, on average, for a case to be processed from entry to closure. These calculations include only cases closed within the quarter specified.*

Board	FY11	Change Between FY12 & FY11	FY12	Change Between FY13 & FY12	FY13	Change Between FY14 & FY13	FY14	Change Between FY15 & FY14	FY15
Audiology/Speech Pathology	89.6	26.6%	113.4	-31.4%	77.8	-23.1%	59.85	65.4%	99
Counseling	77.7	136.4%	183.7	130.0%	422.6	-49.1%	215.2	20.0%	258.3
Dentistry	172.9	23.6%	213.7	31.1%	280.2	13.5%	317.9	-11.0%	282.92
Funeral Directing	226.5	-26.7%	166.1	6.9%	177.5	0.3%	178	-16.7%	148.27
Long Term Care Administrator	126.1	30.5%	164.6	41.6%	233.1	-24.6%	175.79	7.2%	188.47
Medicine	148.4	-19.2%	119.9	7.8%	129.2	21.2%	156.58	9.2%	171.01
Nurse Aide	180.4	-3.3%	174.4	-13.8%	150.3	35.5%	203.71	-29.6%	143.41
Nursing	196.5	-6.1%	184.6	-10.8%	164.7	8.4%	178.51	8.7%	194.02
Optometry	214.9	-35.7%	138.2	-10.1%	124.2	80.1%	223.64	-23.7%	170.73
Pharmacy	159.7	-0.5%	158.9	-3.0%	154.2	-11.4%	136.662	19.0%	162.63
Physical Therapy	74.4	215.9%	235	-24.6%	177.2	-16.9%	147.2	22.0%	179.65
Psychology	204.8	11.7%	228.7	30.4%	298.3	-46.9%	158.265	15.4%	182.65
Social Work	257.3	-49.6%	129.6	113.3%	276.5	-37.8%	171.975	33.4%	229.43
Veterinary Medicine	162.4	-5.4%	153.6	7.7%	165.4	5.7%	174.829	31.6%	230.03
AGENCY	177.5	-10.2%	159.4	5.5%	168.2	7.9%	181.483	3.0%	186.84

**PERCENTAGE OF CASES OF ALL TYPES
 CLOSED WITHIN 365 CALENDAR DAYS***

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

**The percent of cases closed in fewer than 365 days shows, from the total of all cases closed during the specified period, the percent of cases that were closed in less than one year.*

	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Audiology/Speech Pathology	90.0%	100.0%	N/A	N/A	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	N/A
Counseling	100.0%	47.6%	80.0%	80.0%	89.5%	96.8%	86.7%	78.6%	75.0%	76.2%	64.3%	72.7%
Dentistry	94.3%	75.5%	73.0%	64.0%	72.9%	52.7%	67.5%	81.2%	83.7%	53.6%	74.0%	69.8%
Funeral Directing	94.7%	85.7%	93.3%	82.4%	95.8%	86.7%	90.9%	100.0%	87.5%	100.0%	88.2%	88.2%
Long Term Care Administrator	81.8%	85.7%	100.0%	75.0%	71.4%	100.0%	84.6%	92.9%	90.9%	84.6%	77.8%	88.9%
Medicine	90.8%	92.5%	79.6%	95.9%	91.6%	92.7%	90.4%	89.9%	87.1%	94.3%	87.8%	87.9%
Nurse Aide	87.4%	93.9%	94.3%	95.7%	96.7%	96.2%	97.9%	96.2%	96.6%	93.0%	91.1%	97.1%
Nursing	89.9%	93.5%	90.8%	91.8%	92.3%	90.1%	94.1%	86.5%	92.4%	87.2%	87.3%	86.2%
Optometry	72.7%	100.0%	100.0%	75.0%	66.7%	75.0%	82.4%	75.0%	100.0%	66.7%	85.7%	100.0%
Pharmacy	96.0%	88.5%	91.1%	90.1%	92.7%	132.9%	95.5%	95.1%	76.7%	62.2%	82.8%	95.4%
Physical Therapy	72.7%	100.0%	100.0%	90.0%	100.0%	100.0%	90.9%	87.5%	100.0%	75.0%	75.0%	100.0%
Psychology	100.0%	83.3%	90.5%	94.1%	92.3%	100.0%	93.3%	100.0%	87.5%	100.0%	75.0%	50.0%
Social Work	100.0%	72.7%	93.8%	100.0%	85.7%	91.7%	95.7%	72.2%	92.3%	77.8%	65.5%	87.5%
Veterinary Medicine	91.9%	88.5%	85.7%	94.7%	96.7%	100.0%	93.5%	66.7%	71.1%	92.7%	65.3%	63.5%
AGENCY TOTAL	90.7%	90.3%	86.9%	89.6%	91.4%	97.4%	90.9%	88.6%	87.9%	88.3%	84.4%	85.8%

PERCENTAGE OF CASES CLOSED WITHIN 365 CALENDAR DAYS

LAST FIVE FISCAL YEARS

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*The percent of cases closed in fewer than 365 days shows, from the total of all cases closed during the specified period, the percent of cases that were closed in less than one year. In comparing two time periods, if the change is positive there was a higher percent of cases closed in under a year in the first period than in the previous period.

<i>Board</i>	FY11	Change Between FY12 & FY11	FY12	Change Between FY13 & FY 12	FY13	Change Between FY14 & FY 13	FY14	Change Between FY15 & FY 14	FY15
Audiology/Speech Pathology	93.3%	1.2%	94.4%	2.1%	96.4%	3.7%	100.0%	-3.2%	96.8%
Counseling	100.0%	-27.9%	72.2%	12.5%	81.2%	7.9%	87.6%	-12.6%	76.6%
Dentistry	90.1%	2.5%	92.4%	-6.4%	86.5%	-24.7%	65.1%	11.1%	72.4%
Funeral Directing	93.0%	-7.5%	86.0%	0.3%	86.3%	5.3%	90.8%	5.4%	95.7%
Long Term Care Administrator	93.3%	-1.6%	91.8%	-3.4%	88.7%	-0.1%	88.6%	1.6%	90.0%
Medicine	92.3%	0.3%	92.6%	-0.6%	92.1%	-0.4%	91.7%	-1.0%	90.8%
Nurse Aide	94.9%	-3.4%	91.7%	0.2%	91.9%	4.6%	96.1%	-0.1%	96.0%
Nursing	96.6%	-5.3%	91.5%	0.3%	91.8%	0.6%	92.3%	-2.2%	90.3%
Optometry	80.1%	24.8%	100.0%	-8.2%	91.8%	-9.2%	83.3%	4.0%	86.7%
Pharmacy	93.8%	-1.3%	92.6%	-1.2%	91.5%	0.5%	92.0%	-4.3%	88.0%
Physical Therapy	95.8%	0.0%	95.8%	-8.0%	88.1%	8.2%	95.4%	-5.6%	90.0%
Psychology	93.6%	-13.4%	81.1%	17.4%	95.2%	-1.6%	93.7%	0.1%	93.8%
Social Work	83.9%	4.8%	87.9%	2.4%	90.0%	3.0%	92.7%	-8.3%	85.0%
Veterinary Medicine	93.8%	0.2%	94.0%	-3.4%	90.8%	4.8%	95.2%	5.1%	100.0%
AGENCY	93.3%	-1.7%	91.7%	-0.5%	91.3%	-0.4%	90.9%	-1.6%	89.5%

Licensing Manager's Report

COUNT OF CURRENT LICENSES* BOARD SUMMARY

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*CURRENT LICENSES BY BOARD AND OCCUPATION AS OF THE LAST DAY OF THE QUARTER

	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Audiology/Speech Pathology	3694	3756	4019	4093	3936	4104	4418	4674	4653	4840	4944	4992
Counseling	6833	6801	6788	6960	7098	6545	7026	7183	7256	7042	7249	7490
Dentistry	12608	12216	13103	13226	12617	13140	13390	13507	12782	13753	13999	14186
Funeral Directing	2555	2373	2484	2516	2379	2471	2521	2543	2313	2506	2540	2573
Long Term Care Administrator	2066	1961	2030	2079	1968	2054	2107	2176	1922	2058	2115	2165
Medicine	58980	58848	61299	61769	61910	61789	62714	62617	62816	64137	65337	65922
Nurse Aide	54656	54833	53995	53989	53751	53098	54250	54491	53695	53834	54568	54402
Nursing	156004	154149	159261	159067	159315	159974	162346	161891	161569	163058	164128	163594
Optometry	1819	1875	1896	1915	1852	1906	1927	1946	1856	1915	1931	1963
Pharmacy	31547	32263	34021	34800	33321	34398	35424	36750	34226	35476	36365	37218
Physical Therapy	9344	9384	10170	10390	10574	10901	11401	11647	10533	11000	10908	11075
Psychology	3743	3656	3696	3799	3888	3624	3893	4017	4093	3876	4028	4141
Social Work	6176	6008	5923	6076	6242	6350	6481	6590	6741	6306	6544	6690
Veterinary Medicine	6474	6348	6833	6882	6651	6897	7029	7108	6888	7187	7304	7370
AGENCY TOTAL	356499	354471	365518	367561	365502	367251	374927	377140	371343	376988	381960	383781

COUNT OF CURRENT LICENSES *

FISCAL YEAR 2016, QUARTER ENDING 12/31/15

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*CURRENT LICENSES BY BOARD AND OCCUPATION AS OF THE LAST DAY OF THE QUARTER

Board	Occupation	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Psychology	Applied Psychologist	41	35	34	35	35	26	31	31	31	29	29	30
	Clinical Psychologist	2849	2764	2858	2929	2983	2831	2985	3047	3104	3003	3104	3167
	School Psychologist	105	98	97	98	100	92	98	100	102	97	99	99
	School Psychologist-Limited	339	344	310	332	361	310	384	436	448	365	406	438
	Sex Offender Treatment Provider	409	415	397	405	409	365	395	403	408	382	390	407
Total		3743	3656	3696	3799	3888	3624	3893	4017	4093	3876	4028	4141
Social Work	Associate Social Worker	2	3	1	1	1	1	1	1	1	0	1	1
	Licensed Clinical Social Worker	5687	5515	5502	5622	5736	5814	5903	5986	6104	5781	5948	6060
	Licensed Social Worker	466	469	403	436	488	518	560	586	619	525	583	617
	Registered Social Worker	21	21	17	17	17	17	17	17	17	0	12	12
Total		6176	6008	5923	6076	6242	6350	6481	6590	6741	6306	6544	6690
Veterinary Medicine	Equine Dental Technician	23	23	24	25	24	23	24	25	24	24	24	25
	Full Service Veterinary Facility	740	744	751	751	747	750	756	753	760	768	771	771
	Restricted Veterinary Facility	279	284	295	295	297	298	304	304	308	317	324	327
	Veterinarian	3811	3640	4044	4074	3899	4038	4119	4164	3986	4157	4221	4252
	Veterinary Technician	1621	1657	1719	1737	1684	1788	1826	1862	1810	1921	1964	1995
Total		6474	6348	6833	6882	6651	6897	7029	7108	6888	7187	7304	7370
AGENCY TOTAL		356499	354471	365518	367561	365502	367251	374927	377140	371343	376988	381960	383781

COUNT OF CURRENT LICENSES *

LAST FIVE FISCAL YEARS

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*CURRENT LICENSES BY BOARD AND OCCUPATION AS OF THE LAST DAY OF THE FISCAL YEAR

Board	Occupation	FY11	Change Between FY12 & FY11	FY12	Change Between FY13 & FY12	FY13	Change Between FY14 & FY13	FY14	Change Between FY15 & FY14	FY15
Psychology	Applied Psychologist	41	-17.1%	34	2.9%	35	-25.7%	26	11.5%	29
	Clinical Psychologist	2709	-2.4%	2644	4.5%	2764	2.4%	2831	6.1%	3003
	School Psychologist	111	-9.0%	101	-3.0%	98	-6.1%	92	5.4%	97
	School Psychologist-Limited	295	4.4%	308	11.7%	344	-9.9%	310	17.7%	365
	Sex Offender Treatment Provider	422	0.9%	426	-2.6%	415	-12.0%	365	4.7%	382
	Total		3578	-1.8%	3513	4.1%	3656	-0.9%	3624	7.0%
Social Work	Associate Social Worker	3	-33.3%	2	50.0%	3	-66.7%	1	-	0
	Licensed Clinical Social Worker	5468	-4.3%	5233	5.4%	5515	5.4%	5814	-0.6%	5781
	Licensed Social Worker	431	-8.8%	393	19.3%	469	10.4%	518	1.4%	525
	Registered Social Worker	28	-25.0%	21	0.0%	21	-19.0%	17	-	0
Total		5930	-4.7%	5649	6.4%	6008	5.7%	6350	-0.7%	6306
Veterinary Medicine	Equine Dental Technician	22	9.1%	24	-4.2%	23	0.0%	23	4.3%	24
	Full Service Veterinary Facility	722	1.8%	735	1.2%	744	0.8%	750	2.4%	768
	Restricted Veterinary Facility	264	2.3%	270	5.2%	284	4.9%	298	6.4%	317
	Veterinarian	3728	-5.3%	3530	3.1%	3640	10.9%	4038	2.9%	4157
	Veterinary Technician	1469	7.5%	1579	4.9%	1657	7.9%	1788	7.4%	1921
Total		6205	-1.1%	6138	3.4%	6348	8.6%	6897	4.2%	7187
AGENCY TOTAL		339182	1.9%	345616	2.6%	354471	3.7%	367475	2.6%	376988

NEW LICENSES ISSUED BOARD SUMMARY

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

**CURRENT LICENSES BY BOARD AND OCCUPATION AS OF THE LAST DAY OF THE QUARTER*

	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Audiology/Speech Pathology	75	103	164	63	68	138	276	200	235	169	167	42
Counseling	234	291	496	304	240	253	148	125	91	174	94	200
Dentistry	239	420	350	131	134	348	251	130	152	335	302	190
Funeral Directing	52	52	43	51	40	51	45	29	51	54	45	35
Long Term Care Administrator	73	75	80	72	73	88	93	79	80	96	77	74
Medicine	1219	2237	1631	910	1113	2171	1411	993	1045	2588	1768	1139
Nurse Aide	1516	2479	1614	1495	1258	2216	1756	1565	1227	2224	1716	1327
Nursing	3001	2820	4089	2186	2875	3226	3844	2231	2851	3216	3418	2281
Optometry	21	69	23	15	22	54	22	17	9	51	24	28
Pharmacy	909	1143	1321	765	1024	1215	1428	1019	785	1132	1140	878
Physical Therapy	168	262	522	210	152	33	487	238	187	424	442	146
Psychology	68	70	77	75	64	91	108	91	65	63	90	80
Social Work	218	231	336	284	238	254	124	110	139	169	171	125
Veterinary Medicine	91	222	116	53	71	239	110	75	79	266	128	61
AGENCY TOTAL	7884	10474	10862	6614	7372	10677	10103	6902	6996	10961	9582	6606

NEW LICENSES ISSUED BY QUARTER*

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*Shows the number of initial licenses granted for each licensing board by occupation.

Board	Occupation	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	CURRENT Q2 2016
Pharmacy	Robotic Pharmacy System	0	0	0	0	0	0	0	0	0	0	0	0
	Warehouser	0	0	1	0	0	2	4	2	0	0	0	0
	Wholesale Distributor	4	1	1	2	2	2	0	0	2	0	1	4
Total		909	1143	1321	765	1024	1215	1428	1019	785	1132	1140	878
Physical Therapy	Direct Access Certification	33	45	55	36	37	48	48	75	56	42	9	17
	Physical Therapist	106	147	319	140	91	218	294	131	102	274	320	76
	Physical Therapist Assistant	29	70	148	34	24	67	145	32	29	108	113	53
Total		168	262	522	210	152	333	487	238	187	424	442	146
Psychology	Applied Psychologist	0	0	0	0	0	0	0	0	0	1	0	1
	Clinical Psychologist	48	64	59	57	41	58	59	50	49	50	66	55
	Continuing Education Provider	0	0	0	0	0	0	0	0	0	0	0	0
	School Psychologist	1	0	2	0	1	2	2	2	1	2	0	0
	School Psychologist-Limited	17	3	11	14	18	27	43	34	12	7	21	13
	Sex Offender Treatment Provider	2	3	5	4	4	4	4	5	3	3	3	11
Total		68	70	77	75	64	91	108	91	65	63	90	80
Social Work	Associate Social Worker	0	0	0	0	0	0	0	0	0	0	0	0
	Licensed Clinical Social Worker	75	81	109	100	73	93	86	85	108	125	118	96
	Licensed Social Worker	11	21	27	30	44	35	38	25	31	44	53	29
Total		218	231	336	284	238	254	124	110	139	169	171	125
Veterinary Medicine	Equine Dental Technician	0	0	1	0	0	1	1	0	0	0	0	0
	Full Service Veterinary Facility	5	4	7	3	3	132	3	2	9	8	3	4
	Restricted Veterinary Facility	10	7	8	6	9	5	5	8	9	8	9	8
	Veterinarian	56	146	72	28	47	3	65	35	36	148	74	24
	Veterinary Technician	20	65	28	16	12	98	36	30	25	102	42	25
Total		91	222	116	53	71	239	110	75	79	266	128	61
AGENCY TOTAL		7884	10474	10862	6614	7372	10677	10103	6902	6996	10961	9582	6606

NEW LICENSES ISSUED*

PAST FIVE FISCAL YEARS

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*Shows the number of initial licenses granted for each licensing board by occupation.

Board	Occupation	FY11	Change Between FY12 & FY11	FY12	Change Between FY13 & FY12	FY13	Change Between FY14 & FY13	FY14	Change Between FY15 & FY14
Pharmacy	Pharmacy	57	7.0%	61	-3.3%	59	-3.4%	57	22.8%
	Pharmacy Intern	567	1.4%	575	17.0%	673	1.8%	685	-2.2%
	Pharmacy Technician	1936	2.1%	1976	13.3%	2238	-3.0%	2170	-10.7%
	Pharmacy Technician Training Program	11	18.2%	13	-7.7%	12	41.7%	17	-5.9%
	Physician Selling Controlled Substances	126	54.0%	194	0.0%	194	-19.1%	157	4.5%
	Pilot Programs	1	-100.0%	0	-	1	100.0%	2	-
	PSD Location	48	0.0%	48	6.3%	51	-39.2%	31	3.2%
	Repackaging Training Program	1	-100.0%	0	-	0	-	0	-
	Restricted Manufacturer	8	-37.5%	5	-40.0%	3	-66.7%	1	-
	Robotic Pharmacy System	0	-	0	-	0	-	0	-
Warehouser	3	0.0%	3	-100.0%	0	-	3	-	
Wholesale Distributor	2	50.0%	3	166.7%	8	-12.5%	7	-71.4%	
Total		3926	2.1%	4009	8.2%	4336	-0.3%	4322	0.9%
Physical Therapy	Direct Access Certification	137	-7.3%	127	11.0%	141	24.8%	176	25.6%
	Physical Therapist	510	-23.9%	388	59.8%	620	23.9%	768	4.3%
	Physical Therapist Assistant	187	21.4%	227	24.2%	282	-3.2%	273	15.0%
Total		834	-11.0%	742	40.6%	1043	16.7%	1217	9.8%
Psychology	Applied Psychologist	2	0.0%	2	0.0%	2	-	0	-
	Clinical Psychologist	167	-3.6%	161	34.8%	217	-0.9%	215	-3.3%
	Continuing Education Provider	0	-	0	-	0	-	0	-
	School Psychologist	1	0.0%	1	200.0%	3	66.7%	5	40.0%
	School Psychologist-Limited	81	-55.6%	36	58.3%	57	22.8%	70	37.1%
	Sex Offender Treatment Provider	34	-50.0%	17	0.0%	17	0.0%	17	-11.8%
Total		285	-23.9%	217	36.4%	296	3.7%	307	6.5%
Social Work	Associate Social Worker	0	-	0	-	0	-	0	-
	Licensed Clinical Social Worker	297	-7.7%	274	9.5%	300	25.0%	375	7.7%
	Licensed Social Worker	57	52.6%	87	-17.2%	72	88.9%	136	1.5%

APPLICANT SATISFACTION SURVEY RESULTS
APPROVAL RATE

FISCAL YEAR 2016, QUARTER ENDING 12/31/2015

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*Applicant Satisfaction Surveys are sent to all initial applicants. The survey includes six categories for which applicants rate their satisfaction on a scale from one to four, one and two being degrees of satisfaction, three and four being degrees of dissatisfaction. This report calculates the percentage of total responses falling into the approval range. An "n/a" is used if no response was received for that board during the specified timeframe.

Board												CURRENT
	Q3 2013	Q4 2013	Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	Q2 2016
Audiology/Speech Pathology	100.0%	100.0%	94.8%	85.7%	100.0%	100.0%	89.6%	83.3%	100.0%	86.7%	76.7%	100.0%
Counseling	69.9%	76.3%	80.1%	83.2%	87.7%	92.8%	83.3%	91.1%	83.9%	80.8%	79.6%	83.3%
Dentistry	98.7%	94.7%	90.9%	95.9%	92.3%	88.9%	86.3%	91.7%	100.0%	93.3%	96.4%	83.3%
Funeral Directing	n/a	100.0%	100.0%	100.0%	88.9%	100.0%	N/A	100.0%	100.0%	97.0%	88.9%	100.0%
Long Term Care Administrator	100.0%	n/a	100.0%	100.0%	88.9%	100.0%	100.0%	100.0%	100.0%	96.3%	100.0%	100.0%
Medicine	94.4%	87.5%	91.1%	91.8%	92.2%	95.0%	92.2%	81.2%	84.8%	89.6%	80.8%	80.6%
Nurse Aide	97.6%	99.1%	97.2%	99.7%	96.5%	100.0%	95.6%	97.3%	88.9%	98.9%	100.0%	98.2%
Nursing	94.4%	96.5%	94.3%	96.4%	94.5%	94.5%	95.6%	94.9%	98.1%	97.2%	92.4%	86.7%
Optometry	n/a	100.0%	100.0%	100.0%	N/A	N/A	100.0%	100.0%	N/A	66.7%	100.0%	N/A
Pharmacy	97.5%	97.3%	97.7%	98.1%	97.6%	99.1%	98.8%	98.3%	100.0%	99.5%	96.3%	98.9%
Physical Therapy	100.0%	98.6%	96.9%	98.7%	100.0%	90.5%	94.3%	97.3%	100.0%	100.0%	96.9%	89.7%
Psychology	89.6%	99.1%	88.6%	92.6%	88.9%	96.0%	89.6%	76.8%	90.0%	84.9%	83.3%	93.2%
Social Work	84.7%	94.9%	86.6%	90.7%	95.8%	88.5%	92.0%	92.0%	90.7%	92.6%	90.7%	94.4%
Veterinary Medicine	83.3%	93.3%	97.4%	100.0%	100.0%	100.0%	100.0%	100.0%	N/A	91.7%	100.0%	N/A
AGENCY	93.5%	93.5%	93.6%	95.0%	94.2%	95.1%	94.2%	92.5%	95.1%	93.9%	90.6%	88.1%

APPLICANT SATISFACTION SURVEY RESULTS
APPROVAL RATE*

LAST FIVE FISCAL YEARS

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*Applicant Satisfaction Surveys are sent to all initial applicants. The survey includes six categories for which applicants rate their satisfaction on a scale from one to four, one and two being degrees of satisfaction, three and four being degrees of dissatisfaction. This report calculates the percentage of total responses falling into the approval range. "N/A" indicates that no response was received for that board during the specified timeframe.

Board	FY11	Change Between FY12 & FY11	FY12	Change Between FY13 & FY12	FY13	Change Between FY14 & FY13	FY14	Change Between FY15 & FY14	FY15
Audiology/Speech Pathology	91.8%	-1.4%	90.5%	9.1%	98.7%	-4.8%	94.0%	-7.6%	86.9%
Counseling	75.7%	-1.8%	74.3%	-2.4%	72.5%	17.1%	84.9%	-1.1%	83.9%
Dentistry	95.7%	-2.9%	92.9%	2.0%	94.8%	-3.2%	91.8%	0.3%	92.1%
Funeral Directing	95.2%	5.0%	100.0%	0.0%	100.0%	-3.0%	97.0%	1.4%	98.3%
Long Term Care Administrator	94.4%	2.0%	96.3%	-100.0%	n/a	100.0%	98.5%	-0.5%	98.0%
Medicine	94.1%	2.6%	96.5%	-6.4%	90.3%	1.9%	92.0%	-3.3%	89.0%
Nurse Aide	97.5%	0.4%	97.9%	-0.1%	97.8%	0.5%	98.3%	-1.0%	97.3%
Nursing	94.8%	1.6%	96.3%	-1.1%	95.2%	-0.3%	94.9%	1.2%	96.0%
Optometry	100.0%	0.0%	100.0%	-7.1%	92.9%	7.6%	100.0%	-8.3%	91.7%
Pharmacy	97.7%	-0.9%	96.8%	1.1%	97.9%	0.1%	98.0%	1.0%	98.9%
Physical Therapy	95.3%	2.4%	97.6%	-0.8%	96.8%	0.4%	97.2%	-0.9%	96.3%
Psychology	88.1%	-4.0%	84.6%	7.9%	91.3%	0.2%	91.5%	-8.3%	83.9%
Social Work	90.6%	-5.6%	85.5%	3.2%	88.2%	1.0%	89.1%	3.1%	91.9%
Veterinary Medicine	97.7%	-0.1%	97.6%	-1.8%	95.8%	3.7%	99.3%	-4.0%	95.4%
Agency Total	94.6%	0.7%	95.3%	-1.8%	93.6%	0.8%	94.3%	-0.6%	93.8%

NEW LICENSES ISSUED*

PAST FIVE FISCAL YEARS

Quarter Breakdown	
Quarter 1	July 1st - September 30th
Quarter 2	October 1st - December 31st
Quarter 3	January 1st - March 31st
Quarter 4	April 1st - June 30th

*Shows the number of initial licenses granted for each licensing board by occupation.

Board	Occupation	FY11	Change Between FY12 & FY11	FY12	Change Between FY13 & FY12	FY13	Change Between FY14 & FY13	FY14	Change Between FY15 & FY14
Social Work	Registered Social Worker	0	-	0	-	0	-	0	-
Total		354	17.2%	361	84.1%	372	44.5%	511	6.1%
Veterinary Medicine	Equine Dental Technician	2	-50.0%	1	-100.0%	0	-	2	-50.0%
	Full Service Veterinary Facility	16	37.5%	22	-36.4%	14	21.4%	17	29.4%
	Restricted Veterinary Facility	32	-21.9%	25	12.0%	28	-7.1%	26	15.4%
	Veterinarian	255	15.7%	295	-1.0%	292	-4.5%	279	1.8%
	Veterinary Technician	113	60.2%	181	-22.7%	140	10.0%	154	25.3%
Total		418	25.4%	524	-9.5%	474	0.8%	478	10.9%
AGENCY TOTAL		32159	2.8%	33056	6.0%	35049	1.2%	34883	1.2%

Committee Reports

**THE VIRGINIA BOARD OF SOCIAL WORK
REGULATORY COMMITTEE MINUTES
Friday, December 4, 2015**

The Virginia Board of Social Work ("Board") meeting convened at 2:05 p.m. on Friday, December 04, 2015 at the Department of Health Professions, 9960 Mayland Drive, Richmond, Virginia. Bernadette Winters, Regulatory Committee Chair called the meeting to order.

BOARD MEMBERS PRESENT: Angelia Allen
Jamie Clancey, L.C.S.W.
Yvonne Haynes, L.C.S.W.
Dolores Paulson, L.C.S.W., Ph.D.
John Salay, L.C.S.W.
Joseph Walsh, L.C.S.W., Ph.D.
Bernadette Winters, L.C.S.W., Ph.D.

BOARD MEMBERS ABSENT: Kristi Wooten

DHP STAFF PRESENT: Christy Evans, Discipline Case Specialist
Sarah Georgen, Licensing Manager
Jaime Hoyle, Executive Director
Jennifer Lang, Deputy Executive Director
Elaine Yeatts, Senior Policy Analyst

MISSION STATEMENT:

Dr. Winters read the mission statement of the Department of Health Professions, which was also the mission statement of the Board.

ROLL CALL:

Dr. Winters requested a roll call. Ms. Georgen announced that seven members of the Board were present; therefore a quorum was established.

EMERGENCY EGRESS:

Dr. Winters announced the Emergency Egress procedures.

ADOPTIONS OF AGENDA:

Dr. Winters suggested that the Committee first discuss clinical social work services followed by mid-level licensure. The agenda was accepted as amended.

PUBLIC COMMENT:

Debra Riggs of the National Association of Social Workers, Virginia Chapter provided written public comment.

Joseph Lynch of the Virginia Society of Clinical Social Work provided written public comment.

APPROVAL OF MINUTES:

Upon a motion by Dr. Walsh, which was properly seconded, the meeting minutes from June 19, 2015 were approved as written.

UNFINISHED BUSINESS:

Dr. Walsh and Mr. Salay provided reports on psychotherapy, as requested at the last Committee meeting. The Committee discussed psychotherapy as it related to the Regulations Governing the Practice of Social Work.

Dr. Paulson made a motion, which was properly seconded, to create a subcommittee to review the research of psychotherapy and present the findings to the Regulatory Committee with a recommendation. The motion failed with two in favor, and five opposed.

Upon further discussion by the Board, Mr. Salay made a motion, which was properly seconded, to recommend to the full board a Notice of Intended Regulatory Action (“NOIRA”) to amend and broaden the current definition of clinical social work services such as the addition of psychosocial interventions. The motion passed unanimously.

The Committee discussed mid-level licensure to determine if a separation of requirements was necessary of the Licensed Social Worker license. Ms. Hoyle announced that staff has been working with the Attorney General’s office regarding registration of supervision credentials and the board’s authority to issue registration of supervision in lieu of mid-level licensure. Ms. Hoyle announced that having the ability to make registrations of supervision public, and having the ability to discipline supervisees, could address some of the issues sought to be remedied through mid-level licensure.

The Committee determined that a subcommittee would be created to discuss mid-level licensure further and provide a report to the Committee at its next meeting. Dr. Winters appointed Mr. Salay, Dr. Paulson, Ms. Haynes and Ms. Clancey to the subcommittee. Mr. Salay requested that board staff provide information in writing from board counsel of how the registration credential would apply to matters of the board.

Dr. Winters opened the floor to discussion of continuing education carry-over hours. Ms. Yeatts reminded the Committee of the proposed regulations set to become effective at the end of 2015 that would change the timeframe of having to report continuing education to even years for annual renewals. The Committee considered the matter resolved.

NEW BUSINESS:

Dr. Winters opened the floor for brief discussion of the requirements for reinstatement or reactivation as it related to the public comment received. Dr. Winters suggested tabling the discussion, as well as “clinical social work services – mental health skill building” until the next Regulatory Committee meeting.

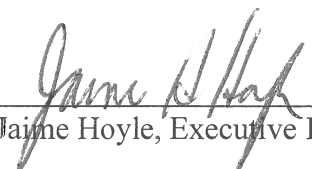
NEXT MEETING:

Dr. Winters announced that the next regularly scheduled full Board meeting would occur on February 26, 2016 at 10:00 a.m.

ADJOURNMENT:

Upon a motion by Dr. Paulson which was properly seconded, the December 04, 2015 meeting was adjourned at 4:06 p.m. The motion passed.


Bernadette Winters, L.C.S.W., Committee Chair


Jaime Hoyle, Executive Director

**THE VIRGINIA BOARD OF SOCIAL WORK
REGULATORY SUBCOMMITTEE MEETING MINUTES
Friday, February 26, 2016**

The Regulatory Committee of the Virginia Board of Social Work ("Board") convened at 11:04 a.m. on Friday, February 26, 2016, at the Department of Health Professions, 9960 Mayland Drive, Richmond, Virginia. Bernadette Winters, Chair called the meeting to order.

BOARD MEMBERS PRESENT: Jaime Clancey
Yvonne Haynes
Dolores Paulson
John Salay

STAFF PRESENT: Sarah Georgen, Licensing Manager
Jaime Hoyle, Executive Director
Jennifer Lang, Deputy Executive Director
Charlotte Lenart, Licensing Specialist
Elaine Yeatts, Senior Policy Analyst

OTHERS PRESENT: Jennifer Henkel, Director of Member Services, ASWB
Dwight Hymans, Executive Vice President, ASWB

EMERGENCY EGRESS:

Ms. Haynes announced the Emergency Egress Procedures.

ADOPTION OF AGENDA:

Dr. Paulson moved to adopt agenda as presented. The motion was seconded and carried.

PUBLIC COMMENT:

Katie Hellebush of the National Association of Social Workers, Virginia Chapter provided public comment supporting mid-level licensure and said that careful research needs to be done to ensure that the practice of social work is not negatively impacted by the decision.

Joseph Lynch of the Virginia Society of Clinical Social Work provided public comment which summarized concerns for mid-level licensure and recommended that the Board make no changes in the regulations that would in any way diminish the license.

Brian Campbell, Senior Policy Analyst, Behavioral Health Integrated Care & Behavioral Services from the Department of Medical Assistance Services ("DMAS") presented information to the Board on the recent accomplishment of their Magellan Behavioral Service Administrator Contract and the Governor's Assistance Program and Community Mental Health Rehabilitation Services Changes. Mr. Campbell requested input from the Board as a stakeholder on the initiatives to better define licensed and unlicensed staff services. Ms. Hoyle stated that she will work as a liaison between the three Behavioral Sciences Boards and DMAS regarding a workforce team.

MID-LEVEL LICENSURE DISCUSSION

Ms. Haynes stated that the goal of the subcommittee was to provide recommendations to the full Regulatory Committee on mid-level licensure.

Ms. Haynes introduced Dwight Hymans, Executive Vice President of the Association of Social Work Board (“ASWB”) and asked him to provide information on the current initiatives of the ASWB. Mr. Hymans indicated that one of ASWB’s top initiatives is the mobility of licensure and to reduce barriers for licensure, protect the public and provide a better way to practice both nationally and internationally.

Following discussion, the subcommittee decided to initiate the possibility of mid-level licensure; however, the subcommittee determined the first step of the process was to provide a clear scope of practice of the mid-level licensure. The subcommittee agreed to bring the following points to the Regulatory Committee.

1. The Board should consider three levels of licensure.
 - a. BSW
 - b. MSW
 - c. Clinical
2. Determine and identify the scope of practice of BSW and MSW licensure.
 - a. Clearly define requirements for both licenses.
 - b. Areas of practice.
3. Board authority over registered supervisees.
 - a. Statutory change or regulation change.
4. Exemptions for licensure.

ADJOURNMENT

There being no further business to come before the subcommittee, the meeting was adjourned at 12:40 p.m.

Yvonne Haynes, Chair

Jaime Hoyle, Executive Director

**THE VIRGINIA BOARD OF SOCIAL WORK
REGULATORY COMMITTEE MEETING MINUTES
Friday, February 26, 2016**

The Regulatory Committee of the Virginia Board of Social Work ("Board") convened at 1:38 p.m. on Friday, February 26, 2016, at the Department of Health Professions, 9960 Mayland Drive, Richmond, Virginia. Bernadette Winters, Chair called the meeting to order.

BOARD MEMBERS PRESENT: Jaime Clancy, L.C.S.W.
Yvonne Haynes, L.C.S.W.
Dolores Paulson, L.C.S.W., Ph.D.
John Salay, L.C.S.W.
Joseph Walsh, L.C.S.W., Ph.D.
Bernadette Winters, L.C.S.W., Ph.D.

BOARD MEMBERS ABSENT: Kristi Wooten

STAFF PRESENT: Sarah Georgen, Licensing Manager
Jaime Hoyle, Executive Director
Jennifer Lang, Deputy Executive Director
Charlotte Lenart, Licensing Specialist
Elaine Yeatts, Senior Policy Analyst

OTHERS PRESENT: Jennifer Henkel, Director of Member Services, Association of Social Work Boards (ASWB)
Dwight Hymans, Executive Vice President, Association of Social Work Boards (ASWB)

ESTABLISHMENT OF A QUORUM:

With six members of the Committee present, a quorum was established.

MISSION STATEMENT:

Dr. Winters read the mission statement of the Department of Health Professions, which was also the mission statement of the Board.

EMERGENCY EGRESS:

Dr. Winters announced the Emergency Egress Procedures.

ADOPTION OF AGENDA:

The agenda was accepted as written.

PUBLIC COMMENT:

Joseph Lynch of the Virginia Society of Clinical Social Work provided public comment which summarized concerns for mid-level licensure and recommended that the Board make no changes in the regulations that would in any way diminish the licenses.

Debra Riggs, Executive Director of the National Association of Social Workers, Virginia Chapter provided written public comment in support of multitier licensure.

APPROVAL OF MINUTES:

Dr. Walsh motioned that the minutes from December 4, 2015 meetings minutes be approved as written. The motion was seconded and carried.

UNFINISHED BUSINESS:

Ms. Haynes reported that the subcommittee met prior to the full Regulatory Committee meeting and recommends the following topics of discussion regarding mid-level licensure:

1. The Board should consider three levels of licensure.
 - a. BSW
 - b. MSW
 - c. Clinical
2. Determine and identify the scope of practice of BSW and MSW licensure.
 - a. Clearly define requirements for both licenses.
 - b. Areas of practice.
3. Board authority over registered supervisees.
 - a. Statutory change or regulation change.
4. Exemptions for licensure.

After discussion by the Committee, Ms. Clancey made a motion which was properly seconded, to recommend that the Board consider beginning the process of multitier licensure and assigning the Regulatory Committee the authority to define the scope of practice. The motion passed unanimously.

Ronnie Zuessman, Supervisor of the Prince William County Community Services Board provided comment for consideration regarding “mental health skill building” and requested feedback. The Committee determined that mental health skill building alone does not constitute “clinical social work services” as it does not have diagnostic responsibility; therefore Ms. Haynes suggested that board staff contact Mr. Zuessman and refer him to the definition of clinical social work services in the Regulations and in Guidance Document 140-10.

The Committee thoroughly discussed the reactivation and reinstatement requirements of the Regulations in order to determine if the requirements were burdensome. Dr. Paulson made a motion, which was properly seconded with one member (Mr. Salay) in opposition, to update Regulations 18VAC140-20-110(B)(3) and 18VAC140-20-110(C)(3) to require that a minimum of one hour of face-to-face supervision must be provided per 40 hours of work experience at the appropriate level of licensure for which the applicant is applying.

NEW BUSINESS:

There was not new business.

NEXT MEETING:

Dr. Winters scheduled the next Regulatory Committee meeting for Friday, April 29, 2016 from 11:00 a.m. to 1:00 p.m.

ADJOURNMENT:

There being no further business to come before the Committee, the meeting was adjourned at 3:46 p.m.

Bernadette Winters, Chair

Jaime Hoyle, Executive Director

DRAFT

New Business

Report of the 2016 General Assembly

Board of Social Work

HB 319 Health regulatory boards; continuing education for certain individuals.

Chief patron: Rasoul

Summary as passed House:

Volunteer health care providers. Requires health regulatory boards to promulgate regulations providing for the satisfaction of board-required continuing education for individuals registered, certified, licensed, or issued a multistate licensure privilege by a health regulatory board through delivery of health care services, without compensation, to low-income individuals receiving health services through a local health department or a free clinic organized in whole or primarily for the delivery of those health services. The bill has a delayed effective date of January 1, 2017.

HB 462 Administrative Process Act; contents of notices for case proceedings.

Chief patron: Head

Summary as introduced:

Administrative Process Act; contents of notices for case proceedings. Requires the notice for either an informal conference or a formal proceeding to include contact information consisting of the name, telephone number, and government email address of the person designated by the agency to answer questions or otherwise assist a named party.

HB 583 Peer providers; Commissioner of BHDS to certify individuals in accordance with regulations.

Chief patron: Yost

Summary as introduced:

Certification of peer providers. Authorizes the Commissioner of Behavioral Health and Developmental Services to certify individuals as peer providers in accordance with regulations adopted by the Board of Behavioral Health and Developmental Services.

HB 586 Health regulatory boards; confidentiality of certain information obtained by boards.

Chief patron: Yost

Summary as passed House:

Confidentiality of certain information obtained by health regulatory boards in disciplinary proceedings. Provides that in disciplinary actions involving allegations that a practitioner is or may be unable to practice with reasonable skill and safety to patients and the public because of a mental or physical disability, a health regulatory board shall consider whether to disclose and may decide not to disclose in its notice or order the practitioner's health records or his health services, although such information may be considered by the board in a closed hearing and included in a confidential exhibit to a notice or order. The bill provides that the public notice or order shall identify, if known, the practitioner's mental or physical disability that is the basis of its determination.

SB 212 Health regulatory boards; membership and terms.

Chief patron: Dunnavant

Summary as passed Senate:

Health regulatory boards; membership and terms. Amends statutes governing membership and terms of various health regulatory boards. The bill (i) provides that members appointed by the Governor to serve on the Board of Health Professions for four-year terms under current law shall serve such terms or terms concurrent with their terms as members of health regulatory boards, whichever is less; (ii) increases the membership of the Health Practitioners' Monitoring Program Committee from seven to nine members by increasing the number of licensed, certified, or registered practitioners from seven to eight members and adding a citizen member; (iii) increases the membership of the Board of Nursing from 13 to 14 members by increasing the required number of registered nurse members from seven to eight and also increasing the number of such registered nurses who must be licensed nurse practitioners from one to two; and (iv) reduces the total number of members of the Board of Counseling from 14 to 12 by reducing the number of licensed substance abuse treatment practitioners from three to one. In addition, the bill replaces the requirement that three members of the Board of Counseling be clinical fellows of the American Association of Marriage and Family Therapy with a requirement that three members be licensed marriage and family therapists who have passed the examination for licensure as a marriage and family therapist and removes the requirement that at least two members representing each specialty on the Board of Counseling shall have been in active practice for at least four years.

**Agenda Item: Regulatory Actions - Chart of Regulatory Actions
(As of March 16, 2016)**

Board		Board of Social Work
Chapter		Action / Stage Information
[18 VAC 140 - 20]	Regulations Governing the Practice of Social Work	<u>Regulatory review changes</u> [Action 4475] NOIRA - Register Date: 1/11/16 Comment closed on 2/10/16
[18 VAC 140 - 20]	Regulations Governing the Practice of Social Work	<u>Licensure requirements</u> [Action 4442] Fast-Track - At Governor's Office for 29 days

Agenda Item: Board action on Proposed Regulations

Included in your agenda package are:

Copy of agency background document on Notice of Intended Regulatory Action (NOIRA)

Copy of public comment

Staff Note:

When the Board adopted regulatory changes pursuant to a periodic review by a fast-track action, the Department of Planning and Budget determined that three (3) of the proposed amendments did not qualify for fast-tracking. Therefore, a NOIRA was published to identify those changes through the normal APA process.

There was a comment period on the NOIRA from January 11, 2016 to February 10, 2016. There were no comments on the NOIRA.

Board action:

The Board may adopt the proposed amendments as presented in agenda package; or

The Board may withdraw the NOIRA and not proceed.



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Notice of Intended Regulatory Action (NOIRA) Agency Background Document

Agency name	Board of Social Work, Department of Health Professions
Virginia Administrative Code (VAC) citation(s)	18VAC140-20-10 et seq.
Regulation title(s)	Regulations Governing the Practice of Social Work
Action title	Regulatory review changes
Date this document prepared	11.18.15

This information is required for executive branch review and the Virginia Registrar of Regulations, pursuant to the Virginia Administrative Process Act (APA), Executive Orders 17 (2014) and 58 (1999), and the *Virginia Register Form, Style, and Procedure Manual*.

Subject matter and intent

Please describe briefly the subject matter, intent, and goals of the planned regulatory action.

Regulations are amended to: 1) require submission of an application for licensure within two years of completion of supervised experience; 2) require register supervision whenever there is a change in the supervisor, the supervised practice, or clinical services or location; and 3) add romantic relationships to the unprofessional conduct section.

The goal of the proposal is to address issues with supervision and a lack of clarity about the prohibition on dual relationships between social workers and their clients or supervisees.

Legal basis

Please identify the (1) the agency (includes any type of promulgating entity) and (2) the state and/or federal legal authority for the proposed regulatory action, including the most relevant citations to the Code

of Virginia or General Assembly chapter number(s), if applicable. Your citation should include a specific provision, if any, authorizing the promulgating entity to regulate this specific subject or program, as well as a reference to the agency's overall regulatory authority.

Regulations are promulgated under the general authority of Chapter 24 of Title 54.1 of the Code of Virginia. Section 54.1-2400, which provides the Board of Social Work the authority to promulgate regulations to administer the regulatory system:

§ 54.1-2400 -General powers and duties of health regulatory boards

The general powers and duties of health regulatory boards shall be:

...

6. *To promulgate regulations in accordance with the Administrative Process Act (§ 9-6.14:1 et seq.) which are reasonable and necessary to administer effectively the regulatory system. Such regulations shall not conflict with the purposes and intent of this chapter or of Chapter 1 (§ 54.1-100 et seq.) and Chapter 25 (§ 54.1-2500 et seq.) of this title. ...*

Purpose

Please describe the specific reasons why the agency has determined that the proposed regulatory action is essential to protect the health, safety, or welfare of citizens. In addition, please explain any potential issues that may need to be addressed as the regulation is developed.

Clarification of the regulations for supervised experience will ensure that supervisees are appropriately supervised in the provision of clinical services and therefore offer more protection for clients and the general public. Likewise, clarification of the rule on dual relationships will protect clients and supervisees from inappropriate actions by social workers from whom they are receiving clinical or supervisory services.

Substance

Please briefly identify and explain the new substantive provisions that are being considered, the substantive changes to existing sections that are being considered, or both.

Regulations are amended to: 1) require submission of an application for licensure within two years of completion of supervised experience; 2) require register supervision whenever there is a change in the supervisor, the supervised practice, or clinical services or location; and 3) add romantic relationships to the unprofessional conduct section.

Alternatives

Please describe any viable alternatives to the proposal considered and the rationale used by the agency to select the least burdensome or intrusive alternative that meets the essential purpose of the action. Also, include discussion of less intrusive or less costly alternatives for small businesses, as defined in § 2.2-4007.1 of the Code of Virginia, of achieving the purpose of the regulation.

These three changes were initially submitted by the Board as part of Action 4442 but deemed to be inappropriate for fast-track action. They are intended to clarify current interpretations of the Board on registration of supervised practice and on dual relationships. There are no viable alternatives that are less burdensome or intrusive.

Public participation

The agency is seeking comments on this regulatory action, including but not limited to: ideas to be considered in the development of this proposal, the costs and benefits of the alternatives stated in this background document or other alternatives, and the potential impacts of the regulation.

The agency is also seeking information on impacts on small businesses as defined in § 2.2-4007.1 of the Code of Virginia. Information may include: projected reporting, recordkeeping, and other administrative costs; the probable effect of the regulation on affected small businesses; and the description of less intrusive or costly alternatives for achieving the purpose of the regulation.

Anyone wishing to submit comments may do so via the Regulatory Town Hall website (<http://www.townhall.virginia.gov>), or by mail, email, or fax to Elaine Yeatts at Department of Health Professions, 9960 Mayland Drive, Suite 300, Richmond, VA 23233 or elaine.yeatts@dhp.virginia.gov or by fax to (804) 527-4434. Written comments must include the name and address of the commenter. In order to be considered, comments must be received by midnight on the last day of the public comment period.

A public hearing will be held following the publication of the proposed stage of this regulatory action and notice of the hearing will be posted on the Virginia Regulatory Town Hall website (<http://www.townhall.virginia.gov>) and on the Commonwealth Calendar website (<https://www.virginia.gov/connect/commonwealth-calendar>). Both oral and written comments may be submitted at that time.

BOARD OF SOCIAL WORK

Regulatory review changes

Part II

Requirements for Licensure

18VAC140-20-40. Requirements for licensure by examination as a licensed clinical social worker.

Every applicant for examination for licensure as a licensed clinical social worker shall:

1. Meet the education requirements prescribed in 18VAC140-20-49 and experience requirements prescribed in 18VAC140-20-50.
2. Submit a completed application to the board office within two years of completion of supervised experience to include:
 - a. Documentation, on the appropriate forms, of the successful completion of the supervised experience requirements of 18VAC140-20-50 along with documentation of the supervisor's out-of-state license where applicable. Applicants whose former supervisor is deceased, or whose whereabouts is unknown, shall submit to the board a notarized affidavit from the present chief executive officer of the agency, corporation or partnership in which the applicant was supervised. The affidavit shall specify dates of employment, job responsibilities, supervisor's name and last known address, and the total number of hours spent by the applicant with the supervisor in face-to-face supervision;
 - b. The application fee prescribed in 18VAC140-20-30;

c. Official transcript or documentation submitted from the appropriate institutions of higher education that verifies successful completion of educational requirements set forth in 18VAC140-20-49; and

d. Documentation of applicant's out-of-state licensure or certification where applicable.

3. Provide evidence of passage of the examination prescribed in 18VAC140-20-70. If the examination was not passed within five years preceding application for licensure, the applicant may qualify by documentation of providing clinical social work services in an exempt setting for at least 360 hours per year for two of the past five years.

18VAC140-20-50. Experience requirements for a licensed clinical social worker.

A. Supervised experience. Supervised post-master's degree experience in all settings obtained in Virginia without prior written board approval will not be accepted toward licensure.

1. Registration. An individual who proposes to obtain supervised post-master's degree experience in Virginia shall, prior to the onset of such supervision, or whenever there is an addition or change of supervised practice, supervisor, clinical social work services or location:

a. Register on a form provided by the board and completed by the supervisor and the supervised individual; and

b. Pay the registration of supervision fee set forth in 18VAC140-20-30.

2. Hours. The applicant shall have completed a minimum of 3,000 hours of supervised post-master's degree experience in the delivery of clinical social work services. A minimum of one hour and a maximum of four hours of face-to-face supervision shall be provided per 40 hours of work experience for a total of at least 100 hours. No more than 50 of the 100 hours may be obtained in group supervision, nor shall there be more than

six persons being supervised in a group unless approved in advance by the board. The board may consider alternatives to face-to-face supervision if the applicant can demonstrate an undue burden due to hardship, disability or geography.

a. Experience shall be acquired in no less than two nor more than four years.

b. Supervisees shall average no less than 15 hours per 40 hours of work experience in face-to-face client contact for a minimum of 1,380 hours. The remaining hours may be spent in ancillary services supporting the delivery of clinical social work services.

3. An individual who does not complete the supervision requirement after four years of supervised experience shall submit evidence to the board showing why the training should be allowed to continue.

B. Requirements for supervisors.

1. The supervisor shall hold an active, unrestricted license as a licensed clinical social worker in the jurisdiction in which the clinical services are being rendered with at least three years of post-licensure clinical social work experience. The board may consider supervisors with commensurate qualifications if the applicant can demonstrate an undue burden due to geography or disability.

2. The supervisor shall have received professional training in supervision, consisting of a three credit-hour graduate course in supervision or at least 14 hours of continuing education offered by a provider approved under 18VAC140-20-105. The graduate course or hours of continuing education in supervision shall be obtained by a supervisor within five years immediately preceding registration of supervision.

3. The supervisor shall not provide supervision for a member of his immediate family or provide supervision for anyone with whom he has a dual relationship.

4. The board may consider supervisors from jurisdictions outside of Virginia who provided clinical social work supervision if they have commensurate qualifications but were either (i) not licensed because their jurisdiction did not require licensure or (ii) were not designated as clinical social workers because the jurisdiction did not require such designation.

C. Responsibilities of supervisors. The supervisor shall:

1. Be responsible for the social work activities of the supervisee as set forth in this subsection once the supervisory arrangement is accepted;
2. Review and approve the diagnostic assessment and treatment plan of a representative sample of the clients assigned to the applicant during the course of supervision. The sample should be representative of the variables of gender, age, diagnosis, length of treatment and treatment method within the client population seen by the applicant. It is the applicant's responsibility to assure the representativeness of the sample that is presented to the supervisor;
3. Provide supervision only for those social work activities for which the supervisor has determined the applicant is competent to provide to clients;
4. Provide supervision only for those activities for which the supervisor is qualified by education, training and experience;
5. Evaluate the supervisee's knowledge and document minimal competencies in the areas of an identified theory base, application of a differential diagnosis, establishing and monitoring a treatment plan, development and appropriate use of the professional relationship, assessing the client for risk of imminent danger, and implementing a professional and ethical relationship with clients;
6. Be available to the applicant on a regularly scheduled basis for supervision; and

7. Maintain documentation, for five years post-supervision, of which clients were the subject of supervision.

D. Supervisees may not directly bill for services rendered or in any way represent themselves as independent, autonomous practitioners, or licensed clinical social workers. During the supervised experience, supervisees shall use their names and the initials of their degree, and the title "Supervisee in Social Work" in all written communications. Clients shall be informed in writing of the supervisee's status and the supervisor's name, professional address, and phone number.

Part V

Standards of Practice

18VAC140-20-150. Professional conduct.

A. The protection of the public health, safety, and welfare and the best interest of the public shall be the primary guide in determining the appropriate professional conduct of all persons whose activities are regulated by the board. Regardless of the delivery method, whether in person, by telephone or electronically, these standards shall apply to the practice of social work.

B. Persons licensed as social workers and clinical social workers shall:

1. Be able to justify all services rendered to or on behalf of clients as necessary for diagnostic or therapeutic purposes.
2. Provide for continuation of care when services must be interrupted or terminated.
3. Practice only within the competency areas for which they are qualified by education and experience.
4. Report to the board known or suspected violations of the laws and regulations governing the practice of social work.

5. Neither accept nor give commissions, rebates, or other forms of remuneration for referral of clients for professional services.

6. Ensure that clients are aware of fees and billing arrangements before rendering services.

7. Inform clients of potential risks and benefits of services and the limitations on confidentiality and ensure that clients have provided informed written consent to treatment.

8. Keep confidential their therapeutic relationships with clients and disclose client records to others only with written consent of the client, with the following exceptions: (i) when the client is a danger to self or others; or (ii) as required by law.

9. When advertising their services to the public, ensure that such advertising is neither fraudulent nor misleading.

10. As treatment requires and with the written consent of the client, collaborate with other health or mental health providers concurrently providing services to the client.

11. Refrain from undertaking any activity in which one's personal problems are likely to lead to inadequate or harmful services.

12. Recognize conflicts of interest and inform all parties of the nature and directions of loyalties and responsibilities involved.

C. In regard to client records, persons licensed by the board shall comply with provisions of § 32.1-127.1:03 of the Code of Virginia on health records privacy and shall:

1. Maintain written or electronic clinical records for each client to include identifying information and assessment that substantiates diagnosis and treatment plans. Each record shall include a diagnosis and treatment plan, progress notes for each case

activity, information received from all collaborative contacts and the treatment implications of that information, and the termination process and summary.

2. Maintain client records securely, inform all employees of the requirements of confidentiality, and provide for the destruction of records that are no longer useful in a manner that ensures client confidentiality.

3. Disclose or release records to others only with clients' expressed written consent or that of their legally authorized representative or as mandated by law.

4. Ensure confidentiality in the usage of client records and clinical materials by obtaining informed consent from clients or their legally authorized representative before (i) videotaping, (ii) audio recording, (iii) permitting third-party observation, or (iv) using identifiable client records and clinical materials in teaching, writing or public presentations.

5. Maintain client records for a minimum of six years or as otherwise required by law from the date of termination of the therapeutic relationship with the following exceptions:

a. At minimum, records of a minor child shall be maintained for six years after attaining the age of majority or 10 years following termination, whichever comes later.

b. Records that are required by contractual obligation or federal law to be maintained for a longer period of time.

c. Records that have been transferred to another mental health professional or have been given to the client or his legally authorized representative.

D. In regard to dual relationships, persons licensed by the board shall:

1. Not engage in a dual relationship with a client or a former client that could impair professional judgment or increase the risk of harm to the client. (Examples of such a relationship include, but are not limited to, familial, social, financial, business, bartering, or a close personal relationship with a client.) Social workers shall take appropriate professional precautions when a dual relationship cannot be avoided, such as informed consent, consultation, supervision, and documentation to ensure that judgment is not impaired and no exploitation occurs.

2. Not have any type of romantic relationship or sexual intimacies with a client or those included in collateral therapeutic services, and not provide services to those persons with whom they have had a romantic or sexual relationship. Social workers shall not engage in romantic relationships or sexual intimacies with a former client within a minimum of five years after terminating the professional relationship. Social workers who engage in such a relationship after five years following termination shall have the responsibility to examine and document thoroughly that such a relationship did not have an exploitive nature, based on factors such as duration of therapy, amount of time since therapy, termination circumstances, client's personal history and mental status, adverse impact on the client. A client's consent to, initiation of or participation in sexual behavior or involvement with a social worker does not change the nature of the conduct nor lift the regulatory prohibition.

3. Not engage in any sexual relationship or establish a therapeutic relationship with a current supervisee or student. Social workers shall avoid any nonsexual dual relationship with a supervisee or student in which there is a risk of exploitation or potential harm to the supervisee or student, or the potential for interference with the supervisor's professional judgment.

4. Recognize conflicts of interest and inform all parties of the nature and directions of loyalties and responsibilities involved.

5. Not engage in a personal relationship with a former client in which there is a risk of exploitation or potential harm or if the former client continues to relate to the social worker in his professional capacity.

Agenda Item: Board action on NOIRA for 2 issues identified by Regulatory Committee

Included in your agenda package are:

Minutes from Regulatory Committee meetings in December and February

Draft summary of regulatory changes to be included in NOIRA

Board action:

The Board may adopt the NOIRA as recommended by the Committee

or

The Board may decide not to proceed with Notice of Intended Regulatory Action.

DRAFT
Substance of Notice of Intended Regulatory Action

Substance

Please briefly identify and explain the new substantive provisions that are being considered, the substantive changes to existing sections that are being considered, or both.

Regulations will be amended to:

- 1) Revise and broaden the current definition of clinical social work services to include the addition of psychosocial interventions (recommendation of the Regulatory Committee – 12/4/15); See example below:

"Clinical social work services" include the application of social work principles and methods in performing assessments and diagnoses based on a recognized manual of mental and emotional disorders or recognized system of problem definition, preventive and early intervention services and treatment services, including but not limited to psychosocial interventions, psychotherapy and counseling for mental disorders, substance abuse, marriage and family dysfunction, and problems caused by social and psychological stress or health impairment.

- 2) Revise regulations for reinstatement and reactivation to require one hour of supervision per 40 hours of work experience in providing social work services appropriate to the license being reinstated or reactivated. (recommendation of the Regulatory Committee – 2/26/16); See example below:

B. A social worker or clinical social worker who fails to renew the license for four years or more and who wishes to resume practice shall apply for reinstatement, pay the reinstatement fee and provide documentation of having completed all applicable continued competency hours equal to the number of years the license has lapsed, not to exceed four years. An applicant for reinstatement shall also provide evidence of competency to practice by documenting:

1. Active practice in another U.S. jurisdiction for at least 24 out of the past 60 months immediately preceding application;
2. Active practice in an exempt setting for at least 24 out of the past 60 months immediately preceding application; or
3. Practice as a supervisee under supervision for at least 360 hours in the 12 months immediately preceding licensure in Virginia with at least one hour of supervision for every 40 hours of work experience providing social work services appropriate to the license begin reinstated. (Same change for reactivation)

Request for Comment to Petition for Rulemaking

Promulgating Board: **Board of Social Work**

Regulatory Coordinator: Elaine J. Yeatts
(804)367-4688
elaine.yeatts@dhp.virginia.gov

Agency Contact: Jaime Hoyle
jaime.hoyle@dhp.virginia.gov
Department of Health Professions

Contact Address: 9960 Mayland Drive
Henrico, VA 23233

Chapter Affected:
18 vac 140 - 20: Regulations Governing the Practice of Social Work

Statutory Authority: State: Chapter 37 of Title 54.1

Date Petition Received 11/12/2015

Petitioner Anjaulyeke Bryant-Covert

Petitioner's Request

To amend section 18VAC140-20-70 to allow persons who have failed the licensing examination to count their supervision hours beyond the two years currently prescribed. The amendment would grandfather those applicants who do not meet current requirements for registration of supervision.

Agency Plan

In accordance with Virginia law, the petition was filed with the Register of Regulations and will be published on December 14, 2015 with a request for comment to be received until January 13, 2016. The petition will also be posted for comment on the Virginia Regulatory Townhall at www.townhall.virginia.gov. At the next meeting held after the close of the comment period, scheduled for February 5, 2016, the Board will consider the petition and any comment received to decide whether or not to initiate the rule-making process.

Publication Date 12/14/2015 *(comment period will also begin on this date)*

Comment End Date 01/13/2016

COMMONWEALTH OF VIRGINIA
Board of Social Work

RECEIVED

NOV 04 2015

9960 Mayland Drive, Suite 300 (804) 367-4441 (Tel)
 Richmond, Virginia 23233-1463

(804) 527-4435 (Fax) Board of Social Work

Petition for Rule-making

The Code of Virginia (§ 2.2-4007) and the Public Participation Guidelines of this board require a person who wishes to petition the board to develop a new regulation or amend an existing regulation to provide certain information. Within 14 days of receiving a valid petition, the board will notify the petitioner and send a notice to the Register of Regulations identifying the petitioner, the nature of the request and the plan for responding to the petition. Following publication of the petition in the Register, a 21-day comment period will begin to allow written comment on the petition. Within 90 days after the comment period, the board will issue a written decision on the petition.

Please provide the information requested below. (Print or Type)

Petitioner's full name (Last, First, Middle initial, Suffix,)
 Anjaulyeke Covert Bryant-Covert

Street Address
 807 Lincoln Dr.

Area Code and Telephone Number

City
 Fredericksburg

State
 VA

Zip Code
 22407

Email Address (optional)
 Covertop01@yahoo.com

Fax (optional)

Respond to the following questions:

1. What regulation are you petitioning the board to amend? Please state the title of the regulation and the section/sections you want the board to consider amending.

Part III. Examinations.

18VAC140-20-70. Examination requirement.

A. An applicant for licensure by the board as a social worker or clinical social worker shall pass a written examination prescribed by the board.

1. The examination prescribed for licensure as a clinical social worker shall be the licensing examination of the Association of Social Work Boards at the clinical level.
2. The examination prescribed for licensure as a social worker shall minimally be the licensing examination of the Association of Social Work Boards at the bachelor's level.

B. A candidate approved by the board to sit for an examination shall take that examination within two years of the date of the initial board approval. If the candidate has not passed the examination by the end of the two-year period here prescribed, the applicant shall reapply according to the requirements of the regulations in effect at that time.

2. Please summarize the substance of the change you are requesting and state the rationale or purpose for the new or amended rule.

If the candidate has not passed the examination by the end of the two-year period here prescribed, the applicant shall reapply according to the requirements of the regulations in effect at that time. My concern about 18VAC140-20-70 (B) that Social Workers who have not passed the exam in the two year period as prescribed are required by the Virginia Social Work Board to begin postgraduate supervision for a second time as part of the licensure requirement. The requirement for additional supervision hours is a major issue for individuals such as myself who has completed an accredited MSW program along with all of the required supervisions hours mandated by the Board of Social Work. I am my sole support and I have worked very hard to get my MSW and complete my supervision hours. When I took my exam it was stressful enough knowing that I failed the exam after studying diligently, and I had no recourse but to try again in 90 days. I am 62 yrs. old, and it is my goal to obtain my LCSW, but two – four years of additional supervision is discouraging. I am requesting that the Virginia Board of Social Work reconsider the requirements for additional supervision hours, and instead consider utilizing a grandfather clause for individuals that have completed the required amount of supervision hours (a grandfather clause or policy is a provision in which an old rule continues to apply to some existing situations while a new rule will apply to future cases, Those exempt from the new rule are said to have grandfather rights or acquired rights. www.freedictionary.com.

I am requesting the Board of Social work consider the grandfather clause for social workers who have completed two-four years supervision making all supervision that has been completed valid until the Social Worker passes the licensure exam. The purpose of this is to encourage all Social Workers who have completed their course work and prior supervision hours to someday achieve the goal of becoming a Licensed Social Worker with the ability to affect change in their community.

3. State the legal authority of the board to take the action requested. In general, the legal authority for the adoption of regulations by the board is found in § 54.1-2400 of the Code of Virginia. If there is other legal authority for promulgation of a regulation, please provide that Code reference.

§ 54.1-2400. General powers and duties of health regulatory boards.

The general powers and duties of health regulatory boards shall be:

1. To establish the qualifications for registration, certification, licensure or the issuance of a multistate licensure privilege in accordance with the applicable law which are necessary to ensure competence and integrity to engage in the regulated professions.

Signature:

Anjanlykke Bryant - Covert

Date:

9/15/15