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Notice of Intended Regulatory Action (NOIRA) Agency Background Document

Agency name	Board for Hearing Aid Specialists and Opticians
Virginia Administrative Code (VAC) Chapter citation(s)	18 VAC 80-30
VAC Chapter title(s)	Optician Regulations
Action title	General Review of Optician Regulations
Date this document prepared	August 25, 2023

This information is required for executive branch review and the Virginia Registrar of Regulations, pursuant to the Virginia Administrative Process Act (APA), Executive Order 19 (2022) (EO 19), any instructions or procedures issued by the Office of Regulatory Management (ORM) or the Department of Planning and Budget (DPB) pursuant to EO 19, the Regulations for Filing and Publishing Agency Regulations (1 VAC 7-10), and the *Form and Style Requirements for the Virginia Register of Regulations and Virginia Administrative Code*.

Brief Summary

Provide a brief summary (preferably no more than 2 or 3 paragraphs) of the subject matter, intent, and goals of this regulatory change (i.e., new regulation, amendments to an existing regulation, or repeal of an existing regulation).

The Board for Hearing Aid Specialists and Opticians (“the Board”) intends to undertake a general regulatory review of the Optician Regulations. The regulation provides for the licensure of Opticians.

The goals of the action include:

1. Review of discretionary requirements imposed on regulated parties to determine whether such requirements impose burdens that are not necessary to protect the public health, safety, and welfare; or are not necessary to effectively administer the licensure program, in accordance with the regulatory reduction goal of Executive Directive Number One (2022);
2. Review to ensure the regulation compliments current Virginia law and meets applicable federal requirements, if any;
3. Review to ensure the regulation is organized, clear, and understandable; and

4. Review to ensure the regulation provides minimal burdens on regulants while still protecting the public.

In addition, the review will ensure the regulation reflects current DPOR procedures and policies, along with any other changes determined to be necessary and appropriate.

Acronyms and Definitions

Define all acronyms or technical definitions used in this form.

“DPOR” means Department of Professional and Occupational Regulation.

Mandate and Impetus

Identify the mandate for this regulatory change and any other impetus that specifically prompted its initiation, (e.g., new or modified mandate, petition for rulemaking, periodic review, or board decision). For purposes of executive branch review, “mandate” has the same meaning as defined in the ORM procedures, “a directive from the General Assembly, the federal government, or a court that requires that a regulation be promulgated, amended, or repealed in whole or part.”

The impetus for this regulatory action is Executive Directive Number One (2022), which directs Executive Branch entities under the authority of the Governor “...to initiate regulatory processes to reduce by at least 25 percent the number of regulations not mandated by federal or state statute, in consultation with the Office of the Attorney General, and in a manner consistent with the laws of the Commonwealth.”

This action is not the result of a mandate.

Legal Basis

Identify (1) the promulgating agency, and (2) the state and/or federal legal authority for the regulatory change, including the most relevant citations to the Code of Virginia and Acts of Assembly chapter number(s), if applicable. Your citation must include a specific provision, if any, authorizing the promulgating agency to regulate this specific subject or program, as well as a reference to the agency’s overall regulatory authority.

The agency is Board for Hearing Aid Specialists and Opticians.

Section 54.1-201 of the Code of Virginia provides, in part:

- A. The powers and duties of regulatory boards shall be as follows:
 1. To establish the qualifications of applicants for certification or licensure by any such board, provided that all qualifications shall be necessary to ensure either competence or integrity to engage in such profession or occupation.
 5. To promulgate regulations in accordance with the Administrative Process Act (§ 2.2-4000 et seq.) necessary to assure continued competency, to prevent deceptive or misleading practices by practitioners and to effectively administer the regulatory system administered by the regulatory board. The regulations shall not be in conflict with the

purposes and intent of this chapter or of Chapters 1 (§ 54.1-100 et seq.) and 3 (§ 54.1-300 et seq.) of this title.

Purpose

Describe the specific reasons why the agency has determined that this regulation is essential to protect the health, safety, or welfare of citizens. In addition, explain any potential issues that may need to be addressed as the regulation is developed.

The General Assembly has charged the Board with the responsibility for regulating those who engage in the practice of opticianry by requiring that such individuals obtain a license in order to perform the art and science of ophthalmic optics as applied to the compounding, filling and adaptations of ophthalmic prescriptions, products, and accessories.

As mandated by the General Assembly, the Board protects the public welfare, in part, by establishing through regulation (i) the minimum qualifications of applicants for certification or licensure, provided that all qualifications are necessary to ensure either competence or integrity to engage in the profession or occupation; (ii) minimum standards to assure continued competency and to prevent deceptive or misleading practices by practitioners; and (iii) requirements to effectively administer the regulatory system administered by the Board.

As the regulation is developed, the Board, in accordance with Executive Directive Number One (2022), will review discretionary requirements imposed on regulated parties to determine whether such requirements impose burdens that are not necessary to protect the public health, safety, and welfare; or are not necessary to effectively administer the licensure program. To the extent any such current requirement may not be necessary to protect the public health, safety, and welfare, or not necessary to effectively administer the licensure program, the Board will consider eliminating the requirement.

These issues are not inclusive of all potential issues that may be addressed during development of the regulation.

Substance

Briefly identify and explain the new substantive provisions that are being considered, the substantive changes to existing sections that are being considered, or both.

During its review of the regulation, the Board is expected to consider the following:

Part I – General Definitions (18VAC80-30-10):

Definitions (18VAC80-30-10): Removing definitions for terms that are currently defined in the Code of Virginia, and incorporating these definitions by reference. Adding a definition for “active employment.” Removing the definition of “licensed optician.”

Part II – Entry Requirements (18VAC80-30-20 et seq.):

Qualifications of Applicant (18VAC80-30-20): Removing an unnecessary provision related to emancipated minors. Adding provisions requiring disclosure of prior regulatory discipline. Revising provisions relating to the disclosure of prior criminal history.

Opticians Licensed in Another State (18VAC80-30-30): Revising provisions related to individuals licensed as an optician in another jurisdiction to provide that such individuals be in good standing and provide information that the requirements and standards under which the license was issued are substantially equivalent to those in the Board's regulation.

Registration for Voluntary Practice by Out-of-State Licensees (18VAC80-30-40): Repealing a section that requires out-of-state licensees covered by the licensure exemption in § 54.1-1506(5) of the Code of Virginia to register with the Board.

Fees (18VAC80-30-50): Revising provisions related to examination fees.

Examinations (18VAC80-30-60): Revising provisions related to examination administration to remove an unnecessary requirement.

Content of Optician Examination and Reexamination (18VAC80-30-70): Revising the section to consolidate provisions regarding the timeframe for an applicant to pass the written and practical examinations, and requirements for reexamination.

Endorsement to Fit Contact Lenses (18VAC80-30-80): Removing unnecessary language defining "contact lens endorsed optician."

Content of Contact Lens Endorsement Examination and Reexamination (18VAC80-30-90): Revising provisions related to the examination to (i) remove unnecessary provisions related to reexamination; (ii) clarify provisions related to the timeframe to pass the examination; and (iii) make a technical correction.

Part III – Renewal (18VAC80-30-100):

Renewal (18VAC80-30-100): Revising provisions related to the sending of renewal notices for licenses.

Part IV – Reinstatement (18VAC80-30-110 et seq.):

Reinstatement Required (18VAC80-30-110): Clarifying provisions related to reinstatement of licenses.

Lenses and Frames Standards (18VAC80-30-120): Revising provisions related to standards for lenses and frames to require licensees follow American National Standards Institute (ANSI) standards Z80.1 and Z87.1.

Contact Lens Standards (18VAC80-30-130): Making technical corrections to the standards for the fitting of contact lenses.

Display of License (18VAC80-30-140): Removing a requirement that a notarized duplicate of a license be posted in branch offices.

Notification of Change of Address or Name (18VAC80-30-150): Revising provisions related to reporting changes of name or address by (i) requiring such changes be submitted, rather than mailed, to the Board; and (ii) extending the timeframe for reporting from 30 days to 60 days.

Grounds for Disciplinary Action (18VAC80-30-160): Revising prohibited acts to (i) remove a prohibited act regarding the use of nonprescribed controlled substances; and (ii) reducing reporting requirements for criminal convictions.

Approval of Review Courses (18VAC80-30-180): Revising the section to provide for reinstatement courses. Removing provisions requiring courses adhere to standards established by the International Association of Continuing Education and Training. Revising requirements for information a provider must provide to the Board to receive approval.

Criteria for Related Technical Instruction (18VAC80-30-190): Adding a new section to provide for optician apprenticeships and criteria for Board approval of such apprenticeships.

As the regulation is developed, the Board, in accordance with Executive Directive Number One (2022), will review discretionary requirements imposed on regulated parties to determine whether such requirements impose burdens that are not necessary to protect the public health, safety, and welfare; or are not necessary to effectively administer the licensure program. To the extent any such current requirement may not be necessary to protect the public health, safety, and welfare, or not necessary to effectively administer the licensure program, the Board will consider eliminating the requirement.

Alternatives to Regulation

Describe any viable alternatives to the regulatory change that were considered, and the rationale used by the agency to select the least burdensome or intrusive alternative that meets the essential purpose of the regulatory change. Also, include discussion of less intrusive or less costly alternatives for small businesses, as defined in § 2.2-4007.1 of the Code of Virginia, of achieving the purpose of the regulatory change.

A goal of this regulatory action is to review of discretionary requirements imposed on regulated parties to determine whether such requirements impose burdens that are not necessary to protect the public health, safety, and welfare; or are not necessary to effectively administer the licensure program, in accordance with the regulatory reduction goal of Executive Directive Number One (2022). As the regulation is developed, the Board will consider potential alternatives to existing requirements that may be less burdensome or intrusive while still meeting the essential purpose of the regulation.

Periodic Review and Small Business Impact Review Announcement

If you wish to use this regulatory action to conduct, and this NOIRA to announce, a periodic review (pursuant to § 2.2-4017 of the Code of Virginia and the ORM procedures), and a small business impact review (§ 2.2-4007.1 of the Code of Virginia) of this regulation, keep the following text. Modify it as necessary for your agency. Otherwise, delete the paragraph below and insert “This NOIRA is not being used to announce a periodic review or a small business impact review.”

This NOIRA is not being used to announce a periodic review or a small business impact review.

Public Participation

Indicate how the public should contact the agency to submit comments on this regulation, and whether a public hearing will be held, by completing the text below. In addition, as required by § 2.2-4007.02 of the Code of Virginia, describe any other means that will be used to identify and notify interested parties and seek their input, such as regulatory advisory panels or general notices.

The Board for Hearing Aid Specialists and Opticians is providing an opportunity for comments on this regulatory proposal, including but not limited to (i) the costs and benefits of the regulatory proposal, (ii) any alternative approaches, and (iii) the potential impacts of the regulation.

Anyone wishing to submit written comments for the public comment file may do so through the Public Comment Forums feature of the Virginia Regulatory Town Hall web site at: <https://townhall.virginia.gov>.

Comments may also be submitted by mail, email or fax to:

Kelley Smith, Executive Director
Board for Hearing Aid Specialists and Opticians
Department of Professional and Occupational Regulation
9960 Mayland Drive, Suite 400
Henrico, Virginia 23233

By E-MAIL to:

HASOPT@dpwr.virginia.gov

By FAX to:

(866) 245-9693

In order to be considered, comments must be received by 11:59 pm on the last day of the public comment period.

A public hearing will be held following the publication of the proposed stage and notice of the hearing will be posted on the Virginia Regulatory Town Hall website (<https://townhall.virginia.gov>) and on the Commonwealth Calendar website (<https://commonwealthcalendar.virginia.gov/>). Both oral and written comments may be submitted at that time.