



## Exempt Action Final Regulation Agency Background Document

<b>Agency name</b>	Virginia Lottery
<b>Virginia Administrative Code (VAC) citation</b>	11 VAC 5-60 through 11 VAC 5-80
<b>Regulation title</b>	Regulations to implement Va. Code §§ 58.1-4015.1; 58.1-4030 through 58.1-4047 (Sports betting regulations)
<b>Action title</b>	The action is being taken as a result of a legislative mandate. The new regulations have been promulgated in accordance with the 2020 amendments to Code of Virginia.
<b>Final agency action date</b>	September 15, 2020
<b>Document preparation date</b>	September 22, 2020

When a regulatory action is exempt from executive branch review pursuant to § 2.2-4002 or § 2.2-4006 of the Virginia Administrative Process Act (APA), the agency is encouraged to provide information to the public on the Regulatory Town Hall using this form.

Note: While posting this form on the Town Hall is optional, the agency must comply with requirements of the Virginia Register Act, Executive Orders 14 (2010) and 58 (1999), and the *Virginia Register Form, Style, and Procedure Manual*.

### Summary

*Please provide a brief summary of all regulatory changes, including the rationale behind such changes. Alert the reader to all substantive matters or changes. If applicable, generally describe the existing regulation.*

The action is being taken as a result of the legislative mandate to create a sports betting regulatory program to be administered by the Virginia Lottery. These regulations implement a self-exclusion program for individuals who voluntarily agree to refrain from playing account-based lottery games, participating in sports betting, and participating in gaming activities administered by the Office of Charitable and Regulatory Programs and the Virginia Racing Commission. The regulations contain the requirements for sports betting platform operators and their principals, suppliers, vendors and employees, including the permit application and vetting process, operational standards and oversight, and related enforcement procedures. The regulations also outline the measures required to be taken by sports betting platform operators to provide consumer protections to sports bettors.

**Statement of final agency action**

*Please provide a statement of the final action taken by the agency including (1) the date the action was taken, (2) the name of the agency taking the action, and (3) the title of the regulation.*

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**The Virginia Lottery Board approved the following new regulations on September 15, 2020:**

<a href="#">11VAC5-60</a>	SELF-EXCLUSION PROGRAM
<a href="#">11VAC5-60-10</a>	Definitions
<a href="#">11VAC5-60-20</a>	Request for self-exclusion
<a href="#">11VAC5-60-30</a>	Self-exclusion list
<a href="#">11VAC5-60-40</a>	Duties of sports betting permit holder
<a href="#">11VAC5-60-50</a>	Removal from self-exclusion list
<a href="#">11VAC5-60-60</a>	Forfeiture of winnings by self-excluded individual
<a href="#">11VAC5-70</a>	SPORTS BETTING
<a href="#">11VAC5-70-10</a>	Definitions
<a href="#">11VAC5-70-20</a>	Application Process
<a href="#">11VAC5-70-30</a>	Consent for Investigation
<a href="#">11VAC5-70-40</a>	Waiver of Requirement
<a href="#">11VAC5-70-50</a>	Sports betting permit applications
<a href="#">11VAC5-70-60</a>	Principal applications
<a href="#">11VAC5-70-70</a>	Sports betting supplier applications
<a href="#">11VAC5-70-80</a>	Sports betting vendor registrations
<a href="#">11VAC5-70-90</a>	Sports betting employee applications
<a href="#">11VAC5-70-100</a>	Bonds
<a href="#">11VAC5-70-110</a>	Denial of a permit, license or registration
<a href="#">11VAC5-70-120</a>	Sanctions
<a href="#">11VAC5-70-130</a>	Reserved
<a href="#">11VAC5-70-140</a>	Reserve and Insurance Requirements
<a href="#">11VAC5-70-150</a>	Liability Pooling
<a href="#">11VAC5-70-160</a>	Audit, Financial, Recordkeeping, and Banking Requirements
<a href="#">11VAC5-70-170</a>	Permissible Wagers
<a href="#">11VAC5-70-180</a>	Requests from Sports Governing Bodies
<a href="#">11VAC5-70-190</a>	Use of Official League Data
<a href="#">11VAC5-70-200</a>	System Integrity and Security Assessment
<a href="#">11VAC5-70-210</a>	Minors and Prohibited Players

**Family impact**

*Assess the impact of this regulatory action on the institution of the family and family stability.*

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**Not applicable. The action is being taken as a result of a legislative mandate. The regulations are being made consistent with recent amendments to the Code of Virginia.**