

**BOARD OF OPTOMETRY
BOARD MEETING
SEPTEMBER 27, 2002**

TIME AND PLACE: The meeting was called to order at 11:05 p.m. on Friday, September 27, 2002, at the Department of Health Professions, 6606 West Broad Street, 5th Floor, Room 3, Richmond, Virginia.

PRESIDING OFFICER: Samuel C. Smart, O.D.

MEMBERS PRESENT: Thomas R. Cheezum, O.D.
David H. Hettler, O.D.
Roxann L. Robinson, O.D.
Paula H. Boone, O.D.

MEMBERS NOT PRESENT: Jeff Smith, Citizen Member

STAFF PRESENT: Howard M. Casway, Assistant Attorney General, Board Counsel
Elaine Yeatts, Policy Analyst
Elizabeth A. Carter, Ph.D., Executive Director
Carol Stamey, Administrative Assistant

OTHERS PRESENT: John Marshall, McSweeney & Crump

QUORUM: With five members of the Board present, a quorum was established.

PUBLIC COMMENT: No public comment was presented.

REVIEW AND APPROVAL OF AGENDA: The agenda was approved with the addition of the letters from Charles W. Cook and Charles W. Pegram, III, O.D. and placed under *New Business*.

APPROVAL OF MINUTES: On properly seconded motion by Dr. Cheezum, the Board voted unanimously to approve the minutes of the July 12, 2002 meeting.

On properly seconded motion by Dr. Cheezum, the Board voted unanimously to approve the minutes of the July 12, 2002 Public Hearing.

INTRODUCTION OF STAFF AND NEW BOARD MEMBER: The Board welcomed Gail Jaspen, Esquire, Deputy Executive Director, Department of Health Professions and David H. Hettler, O.D., new Board member. Ms. Jaspen reported that a Board member training/orientation session is scheduled on October 30, 2002.

BOARD DISCUSSION: **Executive Order 21, (2002)**
Ms. Yeatts presented an overview of Governor Warner's Executive Order 21, (2002) and the Order is incorporated into the minutes as Attachment 1.

Proposed Regulations for Volunteer Practice:

Ms. Yeatts requested that the Board review and adopt the proposed language for volunteer practice.

On properly seconded motion by Dr. Cheezum, the Board voted unanimously to adopt the proposed language to replace the emergency volunteer practice regulation in effect until July 2003.

Withdrawal of 1998 Proposed Mercantile Regulations

The Registrar's Office notified Ms. Yeatts that the Notice of Intended Regulatory Action (NOIRA) on the proposed mercantile regulations was beyond the 180-day timeframe and should be officially withdrawn.

On properly seconded motion by Dr. Boone, the Board voted unanimously to withdraw the NOIRA.

Summary of Comments on Proposed Regulations Periodic Review

The Board reviewed specifically the public comment that was addressed in the proposed amendments to the regulations.

Public comment that was not related to the proposed amendments could be addressed in a future NOIRA. The following amendments were made to the proposed NOIRA:

18 VAC 105-20-70.G – An approved continuing education course or program, whether offered by correspondence, electronically or in person, shall be sponsored or approved by one of the following.

18 VAC 105-20-70.D – Licensees shall maintain continuing education documentation for a period of not less than three years. A random audit of licensees may be conducted by the board which will require that licensee provide evidence substantiating participation in required continuing education courses within fourteen (14) days of the renewal date.

18 VAC 105-20-70.G.11- The Accreditation Council for Continuing Medical Education of the American Medical Association for Category 1 or Category 2 credit.

On properly seconded motion by Dr. Cheezum, the Board voted unanimously to adopt the final regulations as amended.

On properly seconded motion by Dr. Boone, the Board voted unanimously to adopt the final therapeutic pharmaceutical regulations as amended.

Dr. Smart requested that Dr. Cheezum and Dr. Hettler

provide an information sheet regarding the requirements for approval of continuing education.

ELECTIONS:

On properly seconded motion by Dr. Cheezum the following nominations were closed.

President – Samuel C. Smart, O.D.

Vice-President – Thomas R. Cheezum, O.D.

Sec-Treasurer – Paula H. Boone, O.D.

On properly seconded motion by Dr. Cheezum, the Board voted unanimously acclamation of the nominations.

Committee Assignments

Newsletter – Dr. Robinson & Dr. Cheezum

CE – Dr. Smart & Dr. Robinson

Credentials – Dr. Hettler & Boone

Professional Designation – Dr. Cheezum & Dr. Boone

CPT Codes – Dr. Hettler & Dr. Smart

CALENDAR:

The 2003 schedule of full board meeting and informal conference dates are as follows:

January 17, 2003

April 25, 2003

July 18, 2003

October 24, 2003

COMMITTEE REPORTS:

Credentials Committee:

Dr. Robinson reported that the Credentials Committee had been busy and challenging.

Continuing Education:

Dr. Smart reported that there had been some confusion among the licensees regarding obtaining CE in the renewal period.

Professional Designation Committee:

Dr. Boone reported that the Committee had no issues.

CPT Codes:

Dr. Cheezum reported that the A codes should be reviewed at the next board meeting.

PRESIDENT'S REPORT:

Dr. Smart reported that he will be attending a Mobility Committee Meeting on October 20, 2002 regarding the development of uniform standards for licensure by endorsement.

EXECUTIVE DIRECTOR'S REPORT:

Agency Move

Dr. Carter updated the Board on the agency's move.

Budget

Dr. Carter reported that the budget reflected surplus funds

of \$199,000. It was noted that a one-time fee reduction may be required to balance the budget.

NEW BUSINESS:

Dr. Pegram, III, O.D./Vision Source

The Board requested that staff review previous professional designation information in consultation with Mr. Casway and respond to Dr. Pegram's request.

CLOSED SESSION:

Request from Request for Charles E. Cook, O.D.

On properly seconded motion by Dr. Robinson, the Committee recessed Open Session and convened in Closed Session pursuant to § 2.2-3711.A.15 of the Code of Virginia for the purpose of consideration and discussion of medical records of Charles E. Cook, O.D. that are excluded from the Freedom of Information Act by Virginia Code Section 2.2-3705. Additionally, it was moved that Howard Casway, Esquire, Dr. Carter and Carol Stamey attend the closed meeting because their presence will aid the Board in its consideration of this matter.

OPEN SESSION:

On properly seconded motion by Dr. Robinson, the Board moved to certify that only public business matters lawfully exempted from open meeting requirements and only such public business matters as were identified in the motion for Closed Session were heard, discussed or considered during the Closed Session.

On properly seconded motion by Dr. Robinson, the Board voted unanimously that a Pre-Hearing Consent Order be issued with the following language:
Dr. Cook be exempted from the continuing education requirements from the date of the entry of the Order upon the condition that he shall not actively practice, that should he return to practice he shall appear before a Special Conference Committee of the Board.

ADJOURNMENT:

The Board concluded its meeting at 2:15 p.m.

Samuel C. Smart, O.D., President

Elizabeth A. Carter, Ph.D., Executive Director

COMMONWEALTH OF VIRGINIA



OFFICE OF THE GOVERNOR

Executive Order 21 (2002)

**DEVELOPMENT AND REVIEW OF
REGULATIONS PROPOSED BY STATE AGENCIES**

By virtue of the authority vested in me as Governor under Article V of the Constitution of the Commonwealth of Virginia and under the laws of the Commonwealth, including but not limited to Sections 2.2-4013 and 2.2-4017 of the *Code of Virginia*, and subject to my continuing and ultimate authority and responsibility to act in such matters, I hereby establish policies and procedures for review of all new, revised, and existing regulations proposed by state agencies, which shall include for purposes of this executive order all agencies, boards, commissions and other entities of the Commonwealth within the Executive Branch which issue regulations. Nothing in this Executive Order shall be construed to limit my authority under Section 2.2-4013 to require an additional 30-day final adoption period, or to exercise any other rights and prerogatives existing under Virginia law.

General Policy

The Executive Branch agencies of the Commonwealth must consider, review, and promulgate many regulations each year. This Executive Order sets out procedures and requirements to ensure the efficiency and quality of Virginia's regulatory process.

All state employees who draft, provide policy analysis for, or review regulations shall carefully consider and apply the principles outlined below during the regulatory development and review process. Where applicable and to the extent permitted by law, it shall be the policy of the Commonwealth that:

Unless otherwise mandated by law, only regulations that are necessary to interpret the law or to protect the public health, safety, or welfare shall be promulgated.

- A. Agencies shall identify the nature and significance of the problem a regulation is intended to address, including, where applicable, the failure of private markets and institutions to adequately address the problem.
- B. Agencies shall identify and assess available alternatives for achieving the goals of a regulation, including where feasible and consistent with public health, safety, and welfare:
 - a. The use of information disclosure requirements, rather than regulatory mandates, so that the public can make more informed choices;
 - b. The use of performance standards in place of mandating specific techniques or behavior; and
 - c. The use of economic incentives to encourage the desired outcomes (such as user fees or marketable permits).
- C. Regulatory development shall be based on the best reasonably available scientific, economic, and other information concerning the need for, and consequences of, the intended regulation. Where feasible, agencies shall specifically cite such information in support of regulatory proposals.
- D. Regulations shall be designed to achieve their intended objective in the most cost-effective manner.
- E. Regulations shall be clearly written and easily understandable by the individuals and entities affected.
- F. All legal requirements related to public participation and all public participation guidelines shall be strictly followed to ensure that citizens have reasonable access and opportunity to present their comments and concerns. Agencies shall establish procedures that provide for a timely written response to all comments and the inclusion of suggested changes that would improve the quality of the regulation.
- G. In addition to requirements set out in the Virginia Administrative Process Act, agencies shall post all rulemaking actions on the Virginia Regulatory Town Hall to ensure that the public is adequately informed of rulemaking activity.

- H. Agencies, as well as reviewing entities, shall endeavor to perform their tasks in the regulatory process as expeditiously as the regulatory subject matter will allow and shall adhere to the time frames set out in this Executive Order.
- I. Each agency head will be held accountable for ensuring that the policies and objectives specified in this Executive Order are put into effect. Agency heads shall ensure that information requested by the Department of Planning and Budget (DPB) or the Office of the Governor in connection with this Executive Order is provided on a timely basis.

Applicability

The review process in this Executive Order applies to rulemaking initiated by agencies of the Commonwealth of Virginia in accordance with Article 2 of the Administrative Process Act (APA) (Section 2.2-4006 et seq. of the *Code of Virginia*).

With the exception of the requirements governing the periodic review of existing regulations, the posting of meeting agenda and minutes, and the posting of guidance documents, the requirements of this Executive Order shall not apply to regulations exempt from Article 2 of the APA. However, a Cabinet Secretary may request in writing that an agency comply with all or part of the requirements of this Executive Order for regulations exempt from Article 2 of the APA. Copies of any such request shall be forwarded to the Governor's Policy Office and DPB.

These procedures shall apply in addition to those already specified in the APA, the agencies' public participation guidelines, and the agencies' basic authorizing statutes.

Any failure to comply with the requirements set forth herein shall in no way effect the validity of a regulation, create any cause of action or provide standing for any person under Article 5 of the APA (Section 2.2-4025 et seq. of the *Code of Virginia*), or otherwise challenge the actions of a government entity responsible for adopting or reviewing regulations.

Regulatory Review Process

Regulations shall be subject to Executive Branch review as specified herein. For each stage of the regulatory development process, DPB shall develop an appropriate background form describing the regulatory action. Agencies shall use the form to inform the public about the substance and reasons for the rulemaking. All agency regulatory packages shall be submitted on the Virginia Regulatory Town Hall

and shall include the completed form for that stage of the regulatory process and the text of the regulation where applicable.

As a general rule, agencies shall submit regulatory packages to the Registrar on the Virginia Regulatory Town Hall within 14 days of being authorized to do so. The Counselor to the Governor may grant exceptions to this requirement for good cause.

A. *Notice of Intended Regulatory Action (NOIRA)*

DPB shall review the submission of a Notice of Intended Regulatory Action to determine whether it complies with all requirements of this Executive Order and applicable statutes and whether the contemplated regulatory action comports with the policy of the Commonwealth as set forth herein. Within 14 days of receiving a complete NOIRA review package from the agency, the Director of DPB shall advise the appropriate Secretary and the Governor of DPB's determination.

The agency shall be authorized to submit the NOIRA to the Registrar for publication when at least one of the following conditions are met:

- a. The Governor approves the NOIRA for publication.
- b. Fourteen days have elapsed since DPB's determination and neither the Governor nor the Secretary has objected to the NOIRA.
- c. Fourteen days have elapsed and any objections issued by the Governor or the Secretary have been withdrawn.

If the Director of DPB advises the appropriate Secretary and the Governor that the NOIRA presents issues requiring further review, the NOIRA shall be forwarded to the Secretary. The Secretary shall review the NOIRA within seven days and forward a recommendation to the Governor. The Chief of Staff is hereby authorized to approve NOIRAs on behalf of the Governor.

B. *Proposed Regulation*

Following the initial public comment period required by Section 2.2-4007.B of the *Code of Virginia* and taking into account the comments received, the agency shall prepare a regulatory review package. Agencies should complete the proposed regulation after the close of the NOIRA comment period as expeditiously as the subject matter will allow. However, the agency must submit the package to DPB within 180 days following the close of the NOIRA comment period, unless a waiver is granted by the Counselor to the Governor.

A proposed regulation shall not address new issues that were not disclosed to the public when the NOIRA was published. If an agency can demonstrate a compelling reason to include new issues, an exception to this policy may be granted by the Counselor to the Governor during the proposed regulation review process.

In addition to the information required on the regulation background form, the agency shall also include in the regulatory package a memorandum from the Office of the Attorney General certifying that the agency has legal authority to promulgate the regulation being proposed.

DPB shall review the proposed regulation package to determine whether it complies with all requirements of this Executive Order and applicable statutes and whether the contemplated regulatory action comports with the policy of the Commonwealth as set forth herein. Within 45 days of receiving a complete proposed regulation package from the agency, the Director of DPB shall advise the Secretary of DPB's determination. The Secretary shall review the proposed regulation package within 14 days and forward a recommendation to the Governor. The Chief of Staff is hereby authorized to approve proposed regulations on behalf of the Governor. Within 14 days of receiving notification that the Governor has approved the proposed regulation package, the agency shall submit the proposed regulation package to the Registrar for publication, unless an exception to this requirement is granted for good cause by the Counselor to the Governor.

C. *Final Regulation*

After the agency has reviewed the comments received during the public comment period following publication of the proposed regulation and has revised the proposed regulation, as the agency deems necessary and proper, the agency shall prepare the final regulation package for submission to the Department of Planning and Budget.

The agency shall submit the final regulation to DPB after the close of the proposed regulation comment period as expeditiously as the subject matter will allow. However, in no case should the submission of the final regulation to DPB take place more than 150 days from the close of the public comment period, unless a waiver has been granted by the Counselor to the Governor.

DPB shall review the final regulation package to determine whether it complies with all requirements of this Executive Order and applicable statutes and whether the regulatory action comports with the policy of the Commonwealth

as set forth herein. In particular, DPB shall assess the effect of any substantive changes made since the publication of the proposed regulation and the responsiveness of the agency to public comment. Within 14 days of receiving a complete final regulation package from the agency, the Director of DPB shall advise the Secretary and the Governor of DPB's determination.

After DPB's review, the final regulation shall be forwarded to the appropriate Secretary and the Governor. The Secretary shall make a recommendation to the Governor within seven days. The agency shall be authorized to submit the final regulation to the Registrar for publication when the Governor approves the final regulatory package for publication.

D. Emergency Regulation

In addition to the information required on the background form, the agency shall also include in the regulatory package for any emergency regulation a memorandum from the Office of the Attorney General certifying that the agency has legal authority to promulgate the emergency regulation.

DPB shall review the emergency regulation package to determine whether it complies with all requirements of this Executive Order and applicable statutes and whether the contemplated regulatory action comports with the policy of the Commonwealth as set forth herein. Within 14 days of receiving a complete emergency regulation package from the agency, the Director of DPB shall advise the Secretary of DPB's determination. The Secretary shall review the emergency regulation package within 14 days and forward a recommendation to the Governor. Upon receiving notification that the Governor has approved the emergency regulation package, the agency may then submit the emergency regulation package to the Registrar for publication.

Periodic Review of Existing Regulations

Each existing regulation in the state shall be reviewed at least once every four years by the promulgating agency unless specifically exempted from periodic review by the Governor. The review shall ensure that each regulation complies with the principles set out in this Executive Order. In addition, each periodic review shall include an examination by the Office of the Attorney General to ensure statutory authority for the regulation. The periodic review of a regulation shall be reported on a form established by DPB. Such form shall minimally provide an opportunity for the agency to demonstrate the regulation's compliance with the policies set out in this Executive Order.

Prior to the commencement date of the periodic review for a regulation, an agency shall post on the Town Hall a notice of the periodic review. The agency shall provide for a minimum of 21 days of public comment commencing on the posted date for the review. No later than 90 days after the close of the public comment period, the agency shall post a completed periodic review report on the Virginia Regulatory Town Hall.

When a regulation has undergone a comprehensive review as part of a regulatory action and when the agency has solicited public comment on the regulation, a periodic review shall not be required until four years after the effective date of this regulatory action.

The Counselor to the Governor may request a periodic review of a regulation at any time deemed appropriate. Such a request may outline specific areas to be addressed in the review. In the case of such a request, the agency shall follow the procedures for periodic review as established herein or such other procedures as may be stipulated by the Counselor to the Governor.

Petitions for Rulemaking

Agencies shall post petitions for rulemaking and written decisions to grant or deny the petitioner's request on the Virginia Regulatory Town Hall in accordance with the time frames established in Section 2.2-4007 of the Code of Virginia.

Waivers from Process Deadlines

The Counselor to the Governor may waive the deadlines an agency must meet when submitting proposed and final regulatory packages. A waiver shall only be granted when an agency has demonstrated a compelling need for extending the deadlines set out herein. An agency shall submit a waiver request as soon as possible prior to the expiration of a deadline. Such requests shall be submitted on forms prepared by DPB.

Electronic Availability of Meeting Agenda and Minutes

Executive Branch agencies that promulgate regulations and keep minutes of regulatory meetings shall post such minutes of their public meetings on the Virginia Regulatory Town Hall in accordance with the time frames established in Section 2.2-3707.1 of the *Code of Virginia*. This requirement shall apply to all meetings scheduled on or after July 1, 2002. In addition, wherever feasible, agencies shall post the agenda for a public meeting on the Virginia Regulatory Town Hall at least seven days prior to the date of the meeting.

Electronic Availability of Guidance Documents

To the extent feasible, agencies shall make all guidance documents, as defined by Section 2.2-4001 of the *Code of Virginia*, available to the public on the Virginia Regulatory Town Hall no later than July 1, 2003. Any guidance document currently available in electronic format shall be posted on the Virginia Regulatory Town Hall by December 31, 2002. Any changes to a guidance document shall be reflected on the Virginia Regulatory Town Hall within 10 days of the change. The Counselor to the Governor may waive these requirements or extend these deadlines in cases where agencies have demonstrated a compelling need. An agency shall submit a waiver request as soon as possible prior to the expiration of the deadline. Such requests shall be submitted on forms prepared by DPB.

This Executive Order rescinds Executive Order Number Twenty-Four (98) and Executive Order Number Twenty-Five (98) issued by Governor James S. Gilmore, III. This Executive Order shall become effective upon its signing and shall remain in full force and effect until June 30, 2006, unless amended or rescinded by further Executive Order.

Given under my hand and under the Seal of the Commonwealth of Virginia on this 26th day of June 2002.

Mark R. Warner, Governor

Attest:

Secretary of the Commonwealth